

CONTRACT DOCUMENTS
AND
TECHNICAL SPECIFICATIONS
FOR
GENERAL PROPERTY IMPROVEMENTS
AT
800 KIMBALL AVENUE
YUBA CITY, CA 95991

SEPTEMBER 30, 2014

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NOTICE TO CONTRACTORS

Sealed proposals will be received by the Regional Housing Authority of Sutter and Nevada Counties by mail to 1455 Butte House Road, Yuba City, CA 95993, or hand delivered to 1455 Butte House Road, Yuba City, CA 95993 by October 9, 2014 at 3:00 pm, at which time they will be publicly opened and read for performing work as follows:

PROJECT: General Property Improvements

LOCATION: 800 Kimball Avenue, Yuba City, CA 95991

Bids will be examined and declared on said day and hour.

No bid will be considered unless it is made on the CONTRACT PROPOSAL form furnished as part of these Contract Documents. Each bid must be accompanied by the following:

1. Form HUD-5369-A "Representations, Certifications, and Other Statements of Bidders"
2. Non-collusive affidavit (required for contracts exceeding \$50,000)
4. OMB standard form LLL "Disclosure of Lobbying Activities" (required for contracts exceeding \$100,000)
5. Form HUD-2530 "Previous Participation Certification" (required for contracts exceeding \$50,000)
6. List of Subcontractors
7. List of References

Bids shall be mailed or submitted to the Authority in a sealed envelope marked:

800 Kimball Avenue **Attn: Gustavo Becerra**

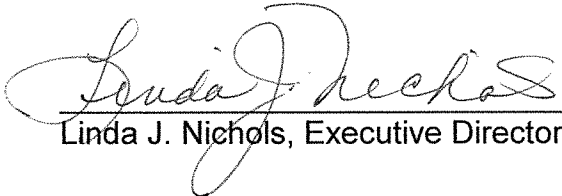
The Contractor and each of his/her subcontractors shall be required to pay the local prevailing wage rate as established by the Director of the Department of Industrial Relations of the State of California, or prevailing wages as established by the Secretary of Labor of the United States, whichever is higher.

The enclosed Prevailing Wage determinations shall be considered applicable for use on this project. If the project is found to be within the limits of Assembly Bill No. 114, the project may not require payment of State prevailing wage rates.

No bid will be accepted from the Contractor who is not licensed under Chapter 9, Division 3, California Business and Professional Code. All subcontractors must be appropriately licensed. It is the Contractor's responsibility to verify that subcontractors are licensed. **Each bid must conform and be responsive to this Notice, the Specifications, Plans, and Contract Documents.**

Specifications, plans and contract documents for the proposed work may be obtained from the Regional Housing Authority of Sutter and Nevada Counties at a Pre-Construction Meeting on Tuesday, September 30, 2014 at 9:00 AM.

THE HOUSING AUTHORITY RESERVES THE RIGHT TO REJECT ANY AND ALL BIDS.



Linda J. Nichols, Executive Director

**U.S. Department of Housing and
Urban Development**
Office of Public and Indian Housing

**Instructions to Bidders for Contracts
Public and Indian Housing Programs**

Instructions to Bidders for Contracts

Public and Indian Housing Programs

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1. Bid Preparation and Submission

(a) Bidders are expected to examine the specifications, drawings, all instructions, and, if applicable, the construction site (see also the contract clause entitled **Site Investigation and Conditions Affecting the Work** of the *General Conditions of the Contract for Construction*). Failure to do so will be at the bidders' risk.

(b) All bids must be submitted on the forms provided by the Public Housing Agency/Indian Housing Authority (PHA/IHA). Bidders shall furnish all the information required by the solicitation. Bids must be signed and the bidder's name typed or printed on the bid sheet and each continuation sheet which requires the entry of information by the bidder. Erasures or other changes must be initialed by the person signing the bid. Bids signed by an agent shall be accompanied by evidence of that agent's authority. (Bidders should retain a copy of their bid for their records.)

(c) Bidders must submit as part of their bid a completed form HUD-5369-A, "Representations, Certifications, and Other Statements of Bidders."

(d) All bid documents shall be sealed in an envelope which shall be clearly marked with the words "Bid Documents," the Invitation for Bids (IFB) number, any project or other identifying number, the bidder's name, and the date and time for receipt of bids.

(e) If this solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "No Bid" in the space provided for any item on which no price is submitted.

(f) Unless expressly authorized elsewhere in this solicitation, alternate bids will not be considered.

(g) Unless expressly authorized elsewhere in this solicitation, bids submitted by telegraph or facsimile (fax) machines will not be considered.

(h) If the proposed contract is for a Mutual Help project (as described in 24 CFR Part 905, Subpart E) that involves Mutual Help contributions of work, material, or equipment, supplemental information regarding the bid advertisement is provided as an attachment to this solicitation.

2. Explanations and Interpretations to Prospective Bidders

(a) Any prospective bidder desiring an explanation or interpretation of the solicitation, specifications, drawings, etc., must request it at least 7 days before the scheduled time for bid opening. Requests may be oral or written. Oral requests must be confirmed in writing. The only oral clarifications that will be provided will be those clearly related to solicitation procedures, i.e., not substantive technical information. No other oral explanation or interpretation will be provided. Any information given a prospective bidder concerning this solicitation will be furnished promptly to all other prospective bidders as a written amendment to the solicitation, if that information is necessary in submitting bids, or if the lack of it would be prejudicial to other prospective bidders.

(b) Any information obtained by, or provided to, a bidder other than by formal amendment to the solicitation shall not constitute a change to the solicitation.

3. Amendments to Invitations for Bids

(a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.

(b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date on the bid form, or (3) by letter, telegram, or facsimile, if those methods are authorized in the solicitation. The PHA/IHA must receive acknowledgement by the time and at the place specified for receipt of bids. Bids which fail to acknowledge the bidder's receipt of any amendment will result in the rejection of the bid if the amendment(s) contained information which substantively changed the PHA's/IHA's requirements.

(c) Amendments will be on file in the offices of the PHA/IHA and the Architect at least 7 days before bid opening.

4. Responsibility of Prospective Contractor

(a) The PHA/IHA will award contracts only to responsible prospective contractors who have the ability to perform successfully under the terms and conditions of the proposed contract. In determining the responsibility of a bidder, the PHA/IHA will consider such matters as the bidder's:

- (1) Integrity;
- (2) Compliance with public policy;
- (3) Record of past performance; and
- (4) Financial and technical resources (including construction and technical equipment).

(b) Before a bid is considered for award, the bidder may be requested by the PHA/IHA to submit a statement or other documentation regarding any of the items in paragraph (a) above. Failure by the bidder to provide such additional information shall render the bidder nonresponsible and ineligible for award.

5. Late Submissions, Modifications, and Withdrawal of Bids

(a) Any bid received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it:

(1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);

(2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the PHA/IHA that the late receipt was due solely to mishandling by the PHA/IHA after receipt at the PHA/IHA; or

(3) Was sent by U.S. Postal Service Express Mail Next Day Service - Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and observed holidays.

(b) Any modification or withdrawal of a bid is subject to the same conditions as in paragraph (a) of this provision.

(c) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the bid, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, bidders should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(d) The only acceptable evidence to establish the time of receipt at the PHA/IHA is the time/date stamp of PHA/IHA on the proposal wrapper or other documentary evidence of receipt maintained by the PHA/IHA.

(e) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, bidders should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and Failure by a bidder to acknowledge receipt of the envelope or wrapper.

(f) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful bid that makes its terms more favorable to the PHA/IHA will be considered at any time it is received and may be accepted.

(g) Bids may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before the exact time set for opening of bids; provided that written confirmation of telegraphic or facsimile withdrawals over the signature of the bidder is mailed and postmarked prior to the specified bid opening time. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for opening of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.

6. Bid Opening

All bids received by the date and time of receipt specified in the solicitation will be publicly opened and read. The time and place of opening will be as specified in the solicitation. Bidders and other interested persons may be present.

7. Service of Protest

(a) Definitions. As used in this provision:

"Interested party" means an actual or prospective bidder whose direct economic interest would be affected by the award of the contract.

"Protest" means a written objection by an interested party to this solicitation or to a proposed or actual award of a contract pursuant to this solicitation.

(b) Protests shall be served on the Contracting Officer by obtaining written and dated acknowledgement from —

[Contracting Officer designate the official or location where a protest may be served on the Contracting Officer]

(c) All protests shall be resolved in accordance with the PHA's/IHA's protest policy and procedures, copies of which are maintained at the PHA/IHA.

8. Contract Award

(a) The PHA/IHA will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the PHA/IHA considering only price and any price-related factors specified in the solicitation.

(b) If the apparent low bid received in response to this solicitation exceeds the PHA's/IHA's available funding for the proposed contract work, the PHA/IHA may either accept separately priced items (see 8(e) below) or use the following procedure to determine contract award. The PHA/IHA shall apply in turn to each bid (proceeding in order from the apparent low bid to the high bid) each of the separately priced bid deductible items, if any, in their priority order set forth in this solicitation. If upon the application of the first deductible item to all initial bids, a new low bid is within the PHA's/IHA's available funding, then award shall be made to that bidder. If no bid is within the available funding amount, then the PHA/IHA shall apply the second deductible item. The PHA/IHA shall continue this process until an evaluated low bid, if any, is within the PHA's/IHA's available funding. If upon the application of all deductibles, no bid is within the PHA's/IHA's available funding, or if the solicitation does not request separately priced deductibles, the PHA/IHA shall follow its written policy and procedures in making any award under this solicitation.

(c) In the case of tie low bids, award shall be made in accordance with the PHA's/IHA's written policy and procedures.

(d) The PHA/IHA may reject any and all bids, except other than the lowest bid (e.g., the apparent low bid is unreasonably low), and waive informalities or minor irregularities in bids received, in accordance with the PHA's/IHA's written policy and procedures.

(e) Unless precluded elsewhere in the solicitation, the PHA/IHA may accept any item or combination of items bid.

(f) The PHA/IHA may reject any bid as nonresponsive if it is materially unbalanced as to the prices for the various items of work to be performed. A bid is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work.

(g) A written award shall be furnished to the successful bidder within the period for acceptance specified in the bid and shall result in a binding contract without further action by either party.

9. Bid Guarantee (applicable to construction and equipment contracts exceeding \$25,000)

All bids must be accompanied by a negotiable bid guarantee which shall not be less than five percent (5%) of the amount of the bid. The bid guarantee may be a certified check, bank draft, U.S. Government Bonds at par value, or a bid bond secured by a surety company acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. In the case where the work under the contract will be performed on an Indian reservation area, the bid guarantee may also be an irrevocable Letter of Credit (see provision 10, Assurance of Completion, below). Certified checks and bank drafts must be made payable to the order of the PHA/IHA. The bid guarantee shall insure the execution of the contract and the furnishing of a method of assurance of completion by the successful bidder as required by the solicitation. Failure to submit a bid guarantee with the bid shall result in the rejection of the bid. Bid guarantees submitted by unsuccessful bidders will be returned as soon as practicable after bid opening.

10. Assurance of Completion

(a) Unless otherwise provided in State law, the successful bidder shall furnish an assurance of completion prior to the execution of any contract under this solicitation. This assurance may be [Contracting Officer check applicable items] —

[] (1) a performance and payment bond in a penal sum of 100 percent of the contract price; or, as may be required or permitted by State law;

[] (2) separate performance and payment bonds, each for 50 percent or more of the contract price;

[] (3) a 20 percent cash escrow;

[] (4) a 25 percent irrevocable letter of credit; or,

[] (5) an irrevocable letter of credit for 10 percent of the total contract price with a monitoring and disbursements agreement with the IHA (applicable only to contracts awarded by an IHA under the Indian Housing Program).

(b) Bonds must be obtained from guarantee or surety companies acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. Individual sureties will not be considered. U.S. Treasury Circular Number 570, published annually in the Federal Register, lists companies approved to act as sureties on bonds securing Government contracts, the maximum underwriting limits on each contract bonded, and the States in which the company is licensed to do business. Use of companies listed in this circular is mandatory. Copies of the circular may be downloaded on the U.S. Department of Treasury website <http://www.fms.treas.gov/c570/index.html>, or ordered for a minimum fee by contacting the Government Printing Office at (202) 512-2168.

(c) Each bond shall clearly state the rate of premium and the total amount of premium charged. The current power of attorney for the person who signs for the surety company must be attached to the bond. The effective date of the power of attorney shall not precede the date of the bond. The effective date of the bond shall be on or after the execution date of the contract.

(d) Failure by the successful bidder to obtain the required assurance of completion within the time specified, or within such extended period as the PHA/IHA may grant based upon reasons determined adequate by the PHA/IHA, shall render the bidder ineligible for award. The PHA/IHA may then either award the contract to the next lowest responsible bidder or solicit new bids. The PHA/IHA may retain the ineligible bidder's bid guarantee.

11. Preconstruction Conference (applicable to construction contracts)

After award of a contract under this solicitation and prior to the start of work, the successful bidder will be required to attend a preconstruction conference with representatives of the PHA/IHA and its architect/engineer, and other interested parties convened by the PHA/IHA. The conference will serve to acquaint the participants with the general plan of the construction operation and all other requirements of the contract (e.g., Equal Employment Opportunity, Labor Standards). The PHA/IHA will provide the successful bidder with the date, time, and place of the conference.

12. Indian Preference Requirements (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)

(a) HUD has determined that the contract awarded under this solicitation is subject to the requirements of section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e(b)). Section 7(b) requires that any contract or subcontract entered into for the benefit of Indians shall require that, to the greatest extent feasible

(1) Preferences and opportunities for training and employment (other than core crew positions; see paragraph (h) below) in connection with the administration of such contracts or subcontracts be given to qualified "Indians." The Act defines "Indians" to mean persons who are members of an Indian tribe and defines "Indian tribe" to mean any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians; and,

(2) Preference in the award of contracts or subcontracts in connection with the administration of contracts be given to Indian organizations and to Indian-owned economic enterprises, as defined in section 3 of the Indian Financing Act of 1974 (25 U.S.C. 1452). That Act defines "economic enterprise" to mean any Indian-owned commercial, industrial, or business activity established or organized for the purpose of profit, except that the Indian ownership must constitute not less than 51 percent of the enterprise; "Indian organization" to mean the governing body of any Indian tribe or entity established or recognized by such governing body; "Indian" to mean any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native" as defined in the Alaska Native Claims Settlement Act; and Indian "tribe" to mean any Indian tribe, band, group, pueblo, or community including Native villages and Native groups (including

corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.

(b) (1) The successful Contractor under this solicitation shall comply with the requirements of this provision in awarding all subcontracts under the contract and in providing training and employment opportunities.

(2) A finding by the IHA that the contractor, either (i) awarded a subcontract without using the procedure required by the IHA, (ii) falsely represented that subcontracts would be awarded to Indian enterprises or organizations; or, (iii) failed to comply with the contractor's employment and training preference bid statement shall be grounds for termination of the contract or for the assessment of penalties or other remedies.

(c) If specified elsewhere in this solicitation, the IHA may restrict the solicitation to qualified Indian-owned enterprises and Indian organizations. If two or more (or a greater number as specified elsewhere in the solicitation) qualified Indian-owned enterprises or organizations submit responsive bids, award shall be made to the qualified enterprise or organization with the lowest responsive bid. If fewer than the minimum required number of qualified Indian-owned enterprises or organizations submit responsive bids, the IHA shall reject all bids and readvertise the solicitation in accordance with paragraph (d) below.

(d) If the IHA prefers not to restrict the solicitation as described in paragraph (c) above, or if after having restricted a solicitation an insufficient number of qualified Indian enterprises or organizations submit bids, the IHA may advertise for bids from non-Indian as well as Indian-owned enterprises and Indian organizations. Award shall be made to the qualified Indian enterprise or organization with the lowest responsive bid if that bid is -

(1) Within the maximum HUD-approved budget amount established for the specific project or activity for which bids are being solicited; and

(2) No more than the percentage specified in 24 CFR 905.175(c) higher than the total bid price of the lowest responsive bid from any qualified bidder. If no responsive bid by a qualified Indian-owned economic enterprise or organization is within the stated range of the total bid price of the lowest responsive bid from any qualified enterprise, award shall be made to the bidder with the lowest bid.

(e) Bidders seeking to qualify for preference in contracting or subcontracting shall submit proof of Indian ownership with their bids. Proof of Indian ownership shall include but not be limited to:

(1) Certification by a tribe or other evidence that the bidder is an Indian. The IHA shall accept the certification of a tribe that an individual is a member.

(2) Evidence such as stock ownership, structure, management, control, financing and salary or profit sharing arrangements of the enterprise.

(f) (1) All bidders must submit with their bids a statement describing how they will provide Indian preference in the award of subcontracts. The specific requirements of that statement and the factors to be used by the IHA in determining the statement's adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement shall be rejected as nonresponsive. The IHA may require that comparable statements be provided by subcontractors to the successful Contractor, and may require the Contractor to reject any bid or proposal by a subcontractor that fails to include the statement.

(2) Bidders and prospective subcontractors shall submit a certification (supported by credible evidence) to the IHA in any instance where the bidder or subcontractor believes it is infeasible to provide Indian preference in subcontracting. The acceptance or rejection by the IHA of the certification shall be final. Rejection shall disqualify the bid from further consideration.

(g) All bidders must submit with their bids a statement detailing their employment and training opportunities and their plans to provide preference to Indians in implementing the contract; and the number or percentage of Indians anticipated to be employed and trained. Comparable statements from all proposed subcontractors must be submitted. The criteria to be used by the IHA in determining the statement(s)'s adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement(s), or that includes a statement that does not meet minimum standards required by the IHA shall be rejected as nonresponsive.

(h) Core crew employees. A core crew employee is an individual who is a bona fide employee of the contractor at the time the bid is submitted; or an individual who was not employed by the bidder at the time the bid was submitted, but who is regularly employed by the bidder in a supervisory or other key skilled position when work is available. Bidders shall submit with their bids a list of all core crew employees.

(i) Preference in contracting, subcontracting, employment, and training shall apply not only on-site, on the reservation, or within the IHA's jurisdiction, but also to contracts with firms that operate outside these areas (e.g., employment in modular or manufactured housing construction facilities).

(j) Bidders should contact the IHA to determine if any additional local preference requirements are applicable to this solicitation.

(k) The IHA [] does [] does not [Contracting Officer check applicable box] maintain lists of Indian-owned economic enterprises and Indian organizations by specialty (e.g., plumbing, electrical, foundations), which are available to bidders to assist them in meeting their responsibility to provide preference in connection with the administration of contracts and subcontracts.

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BID FORM

Location: 344 Anita Way, Yuba City, CA 95991
Project: General Property Improvements

TO: REGIONAL HOUSING AUTHORITY OF SUTTER AND NEVADA COUNTIES
1455 BUTTE HOUSE ROAD
YUBA CITY, CALIFORNIA 95993

Executive Director: Linda Nichols

The undersigned doing business under the firm name of:

having familiarized ourselves with the conditions affecting the cost of the work, where the work is to be done; and having carefully examined the Plans, Specifications, including Instructions to Bidders and Addenda, if any, for the following project:

Project: General Property Improvements
Location: 344 Anita Way, Yuba City, CA 95991

and having examined the site of the proposed work, proposes to furnish all materials, labor, equipment, transportation and utility services called for by them for the work indicated and/or specified.

1. BASE BID:

_____ DOLLARS (\$ _____)

3. **IN SUBMITTING THIS PROPOSAL, THE UNDERSIGNED UNDERSTANDS THAT THE OWNER RESERVES THE RIGHT TO REJECT ANY OR ALL PROPOSALS AND/OR WAIVE ANY INFORMALITY IN THE BID.** It is agreed that this proposal may not be withdrawn over a period of **90 days** after the opening thereof.
4. Attached hereto is a list showing the name and place of business of all Subcontractors who will perform work in excess of one-half (1/2) of one percent (0.5%) of the Base Bid, together with the portion of the work to be done by each Subcontractor.

5. Attached hereto are the following:
- a. Form HUD-5369-A "Representations, Certifications, and Other Statements of Bidders"
 - b. Non-collusive Affidavit (required for contracts exceeding \$50,000)
 - c. OMB standard form LLL "Disclosure of Lobbying Activities" (required for contracts exceeding \$100,000)
 - d. Form HUD-2530 "Previous Participation Certification" (required for contracts exceeding \$50,000)
 - e. List of Subcontractors
 - f. A minimum of 3 references of similar projects
7. If this bid is accepted by the Owner and notice of such acceptance is timely delivered to the undersigned, then the undersigned shall, within ten (10) working days after receipt of such notice, sign the specified The undersigned will thereafter commence and complete the work within the time required by the contract documents.
8. ADDENDUM RECEIPT: Receipt of the following addenda to the Plans and Specifications is acknowledged:

Addendum No. _____, Dated _____ Addendum No. _____, Dated _____
 Addendum No. _____, Dated _____ Addendum No. _____, Dated _____
 Addendum No. _____, Dated _____ Addendum No. _____, Dated _____

DATE: _____ FIRM NAME: _____

PHONE: _____ BY: _____

TITLE: _____

CONTR. LIC #: _____ ADDRESS: _____

LIC. EXP. DATED: _____

The representations made herein are made under penalty of perjury.

REPRESENTATION, CERTIFICATIONS, AND OTHER
STATEMENTS OF BIDDERS
(Form HUD-5369-A(11/92))

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Clause

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7. Small, Minority, Women-Owned Business Concern Representation
8. Indian-Owned Economic Enterprise and Indian Organization Representation (non-applicable)
9. Certification of Eligibility Under the Davis-Bacon Act
10. Certification of Non-Segregated Facilities
11. Clean Air and Water Certification
12. Previous Participation Certificate
13. Bidder's Signature

1. Certificate of Independent Price Determination

(a) The bidder certifies that:

(1) The prices in this bid have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder or competitor relating to (i) those prices, (ii) the intention to submit a bid, or (iii) the methods or factors used to calculate the prices offered;

(2) The prices in this bid have not been and will not be knowingly disclosed by the bidder, directly or indirectly, to any other bidder or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a competitive proposal solicitation) unless otherwise required by law; and

(3) No attempt has been made or will be made by the bidder to induce any other concern to submit or not to submit a bid for the purpose of restricting competition.

(b) Each signature on the bid is considered to be a certification by the signatory that the signatory-

(1) Is the person in the bidder's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

(2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

_____ [insert full name of person(s) in the bidder's organization responsible for determining the prices offered in this bid or proposal, and the title of his/her position in the bidder's organization];

(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the bidder deletes or modifies subparagraph (a)(2) above, the bidder must furnish with its bid a signed statement setting forth in detail the circumstances of the disclosure.

[] [Contracting Officer check if following paragraph is applicable]

(d) Non-collusive affidavit. (Applicable to contracts for construction and equipment exceeding \$50,000)

(1) Each bidder shall execute, in the form provided by the PHA/IHA, an affidavit to the effect that he/she has not colluded with any other person, firm or corporation in regard to any bid submitted in response to this solicitation. If the successful bidder did not submit the affidavit with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the affidavit by that date may render the bid nonresponsive. No contract award will be made without a properly executed affidavit.

(2) A fully executed "Non-collusive Affidavit" [] is, [] is not included with the bid.

2. Contingent Fee Representation and Agreement

(a) Definitions. As used in this provision:

"Bona fide employee" means a person, employed by a bidder and subject to the bidder's supervision and control as to time, place and manner of performance, who neither exerts, nor holds out as being able to obtain any contract(s) through improper influence.

"Improper influence" means any influence that induces or tends to induce a PHA/IHA employee or officer to give consideration or to act regarding a PHA/IHA contract on any basis other than the merits of the matter.

(b) The bidder represents and certifies as part of its bid that, except for full-time bona fide employees working solely for the bidder, the bidder:

(1) [] has, [] has not employed or retained any person or company to solicit or obtain this contract; and

(2) [] has, [] has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(c) If the answer to either (a)(1) or (a)(2) above is affirmative, the bidder shall make an immediate and full written disclosure to the PHA/IHA Contracting Officer.

(d) Any misrepresentation by the bidder shall give the PHA/IHA the right to (1) terminate the contract; (2) at its discretion, deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

3. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (applicable to contracts exceeding \$100,000)

(a) The definitions and prohibitions contained in Section 1352 of title 31, United States Code, are hereby incorporated by reference in paragraph (b) of this certification.

(b) The bidder, by signing its bid, hereby certifies to the best of his/her knowledge and belief as of December 23, 1989, that:

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of

any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress on his/her behalf in connection with the awarding of a contract resulting from this solicitation;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his/her behalf in connection with this solicitation, the bidder shall complete and submit, with its bid, OMS standard form LLL, "Disclosure of Lobbying Activities"; and

(3) He/she will include the language of this certification in all subcontracts at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000 to each such failure.

(d) Indian tribes (except those chartered by States) and Indian organizations as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) are exempt from the requirements of this provision.

4. Organizational Conflicts of Interest Certification

The bidder certifies that to the best of its knowledge and belief and except as otherwise disclosed, he/she does not have any organizational conflict of interest that is defined as a situation in which the nature of work to be performed under this proposed contract and the bidder's organizational, financial, contractual, or other interests may, without some restriction on future activities.

(a) Result in an unfair competitive advantage to the bidder; or

(b) Impair the bidder's objectivity in performing the contract work.

[] In the absence of any actual or apparent conflict, I hereby certify that to the best of my knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement.

5. Bidder's Certification of Eligibility

(a) By the submission of this bid, the bidder certifies that to the best of its knowledge and belief, neither it, nor any person or firm that has an interest in the bidder's firm, nor any of the bidder's subcontractors, is ineligible to:

(1) Be awarded contracts by any agency of the United States Government, HUD, or the State in which this contract is to be performed; or

(2) Participate in HUD programs pursuant to 24 CFR Part 24.

(b) The certification in paragraph (a) above is a material representation of fact upon which reliance was placed when making award. If it is later determined that the bidder knowingly rendered an erroneous certification, the contract may be terminated for default, and the bidder may be debarred or suspended from participation in HUD programs and other Federal contract programs.

6. Minimum Bid Acceptance Period

(a) "Acceptance period", as used in this provision, means that the number of calendar days available to the PHA/IHA for awarding a contract from the date specified in this solicitation for receipt of bids.

(b) This provision supersedes any language pertaining to the acceptance period that may appear elsewhere in this solicitation.

(c) The PHA/IHA requires a minimum acceptance period of 90 calendar days.

(d) In the space provided immediately below, bidders may specify a longer acceptance period than the PHA's/IHA's minimum requirement. The bidder allows the following acceptance period: _____ calendar days.

(e) A bid allowing less than the PHA's/IHA's minimum acceptance period will be rejected.

(f) The bidder agrees to execute all that it has undertaken to do, in compliance with its bid, if that bid is accepted in writing within (1) the acceptance period stated in paragraph (c) above or (2) any longer acceptance period stated in paragraph (d) above.

7. Small, Minority, Women-Owned Business Concern Representation

The bidder represents and certifies as part of its bid/offer that it –

(a) is, is not a small business concern. “Small business concern”, as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominate in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.

(b) is, is not a women-owned business enterprise. “Women-owned business enterprise”, as used in this provision, means a business that is at least fifty-one percent (51%) owned by a woman or women who are U.S. citizens and who also control and operate the business.

(c) is, is not a minority business enterprise. “Minority business enterprise”, as used in this provision, means a business that is at least fifty-one percent (51%) owned or controlled by one or more minority group member or, in the case of a publicly owned business, at least fifty-one percent (51%) of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals. For the purpose of this definition, minority group members are:

(Check the block applicable to you)

Black Americans

Asian Pacific Americans

Hispanic Americans

Asian Indian Americans

Native Americans

Hasidic Jewish Americans

8. Indian-Owned Economic Enterprise and Indian Organization Representation (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)

Non-applicable.

9. Certification of Eligibility Under the Davis-Bacon Act (applicable to construction contracts exceeding \$2,000)

(a) By the submission of this bid, the bidder certifies that neither it nor any person or firm who has an interest in the bidder’s firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of the contract resulting from this solicitation shall be subcontracted to any person or firm ineligible to be awarded contracts by the United States Government by virtue of section 9(a) of the Davis-Bacon Act of 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

10. Certification of Non-Segregated Facilities (applicable to contracts exceeding \$10,000)

(a) The bidder's attention is called to the clause entitled **Equal Employment Opportunity** of the General Conditions of the Contract for Construction.

(b) "Segregated facilities", as used in this provision, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom, or otherwise.

(c) By the submission of this bid, the bidder certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The bidder agrees that a breach of this certification is a violation of the Equal Employment Opportunity clause in this contract.

(d) The bidder further agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) prior to entering into subcontracts that exceed \$10,000 and are not exempt from the requirements of the Equal Employment Opportunity clause, it will:

- (1) Obtain identical certifications from the proposed subcontractors;
- (2) Retain the certifications in its files; and
- (3) Forward the following notice to the proposed subcontractors

(except if the proposed subcontractors have submitted identical certifications for specific time periods):

Notice to Prospective Subcontractors of Requirement for Certifications of Non-Segregated Facilities

A Certification of Non-Segregated Facilities must be submitted before the award of a subcontract exceeding \$10,000 that is not exempt from the provisions of the Equal Employment Opportunity clause of the prime contract. This certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

Note: The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001.

11. Clean Air and Water Certification (applicable to contracts exceeding \$100,000)

The bidders certifies that:

(a) Any facility to be used in the performance of this contract [] is, [] is not listed on the Environmental Protection Agency list of Violating Facilities:

(b) The bidder will immediately notify the PHA/IHA Contracting Officer, before award, of the receipt of any communication from the Administrator, or a designee, of the Environmental Protection Agency, indicating that any facility that the bidder proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and,

(c) The bidder will include a certification substantially the same as this certification, including this paragraph (c), in every nonexempt subcontract.

12. Previous Participation Certificate (applicable to construction and equipment contracts exceeding \$50,000)

(a) The bidder shall complete and submit with his/her bid the form HUD-2530, "Previous Participation Certificate". If the successful bidder does not submit the certificate with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the certificate by that date may render the bid nonresponsive. No contract award will be made without a properly executed certificate.

(b) A fully executed "Previous Participation Certificate" [] is, [] is not included with the bid.

13. Bidder's Signature

The bidder hereby certifies that the information contained in these certifications and representations is accurate, complete and current.

(Signature and Date)

(Type or Print Name)

(Title)

(Company Name)

(Company Address)

(BLANK PAGE)

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

These instructions supplement those found in HUD-5369-A, "Representation, Certifications and Other Statements of Bidders – Public and Indian Housing Programs", which must be submitted as part of each Bidder's package.

Please note the following:

1. Non-Applicability – Indian Housing. Please note that any and all references to "Indian Housing" are not applicable to this project, which is being developed under the Public Housing Program.
2. Section 1 – Certification of Independent Price Determination. Note that Section 1 (b) (2) must be completed with the names of the principals of the firm, if applicable.
3. Section 1 (d) – Non-Collusive Affidavit. Inasmuch as the estimated cost of this project exceeds \$50,000, a non-collusive affidavit IS required and must be submitted. Further, Bidder must check the appropriate box in subsection (2).
4. Section 2 – Contingent Fee Representation and Agreement. Bidder must check the appropriate boxes under Subsection (b) (1) and (2). Further, if the answer under either (b) (1) or (2) is affirmative, the bidder must make an immediate and full disclosure to the Housing Authority's Development and Rehabilitation Administrator.
5. Section 4 – Organizational Conflicts of Information Certification. Bidders must check the box indicated if there are no actual or apparent conflicts of interest.
6. Section 6 – Minimum Bid Acceptance Period. This section is modified as follows:
 - A. Subsection © is amended to read as follows:

"The PHA requires a minimum acceptance period of 90 consecutive calendar days."
 - B. Subsection (d) permits the Bidder to specify a longer acceptance period if so desired.

7. Section 7 – Small, Minority, Women-Owned Business Concern Representation. Note that Bidder should check ALL of the boxes for statements that are applicable to Bidder.
8. Section 8 – Indian Owned Economic Enterprise. This section is not applicable and is deleted.
9. Section 9 – Certification of Eligibility Under the Davis-Bacon Act. Note that this section IS applicable to this project.
10. Section 10 – Certification of Non-Segregated Facilities. Inasmuch as this Contract will exceed \$10,000, this section is applicable. Note also that the Contractor must obtain identical certifications from all proposed subcontractors.
11. Section 11 – Clean Air & Water Certification. Bidder should check the box indicating that the “facility” IS NOT on the EPA list of Violating Facilities.
12. Section 12- Previous Participation Certificate. Inasmuch as the estimated contract price exceeds \$50,000, this section IS applicable. Bidder must submit Form HUD-2530, Previous Participation Certificate, with the bid, or within three (3) working days of the bid opening (not counting the day of the opening). Bidder should note whether or not the certificate is included by checking the appropriate box.

NON-COLLUSIVE AFFIDAVIT

STATE OF CALIFORNIA

COUNTY OF SUTTER

_____, being first duly sworn, deposes and says:

That he/she is _____ of the firm of

(Firm Name)

the party making the foregoing bid; that such bids are genuine and not collusive or sham; that said bidder has not colluded, conspired, connived, or agreed, directly or indirectly, sought by agreement or collusion, or communication or conference, with any person, to fix the bid price of affiant or any other bidder, or to fix any overhead, profit or cost element of said bid price, or of any other bidder, or to secure any advantage against the Authority or any person interested in the proposed Contract; and that all statements in said bid are true.

That neither Members of Congress, Local or State Public Officials, or any employee or representative of the Authority is in any manner interested, directly or indirectly, in the bid to which this Non-Collusive Affidavit is attached, nor in the Contract which may be made pursuant to said bid, nor in any expected profits which may arise there from.

The undersigned further certifies that the bidder who submitted the bid to which this certification is attached, is not listed on the Controller General's list of ineligible bidders or contractors.

Bidder if Bidder is an individual:

(Name of Bidder)

Partner if Bidder if a partnership:

(Partner)

Officer if Bidder is a corporation:

(Officer)

Subscribed and sworn to before me this

_____ day of _____, 20__.

NOTARY PUBLIC, STATE OF CALIFORNIA

MY COMMISSION EXPIRES: _____

EXECUTIVE ORDER
NO. 11625

October 14, 1971, 36 F.R. 19967

PRESCRIBING ADDITIONAL ARRANGEMENTS
FOR DEVELOPING AND COORDINATING A NATIONAL PROGRAM
FOR MINORITY BUSINESS ENTERPRISE

The opportunity for full participation in our free enterprise system by socially and economically disadvantaged persons is essential if we are to obtain social and economic justice for such persons and improve the functioning of our national economy.

The Office of Minority Business Enterprise, established in 1969, greatly facilitated the strengthening and expansion of our minority enterprise program. In order to take full advantage of resources and opportunities in the minority enterprise field, we now must build on this foundation. One important way of improving our efforts is by clarifying the authority of the Secretary of Commerce (a) to implement Federal policy in support of the minority business enterprise program; (b) provide additional technical and management assistance to disadvantaged business; (c) to assist in demonstration projects; and (d) to coordinate the participation of all Federal departments and agencies in an increased minority enterprise effort.

NOW, THEREFORE, by virtue of the authority vested in me as President of the United States, it is ordered as follows:

Section 1. Functions of the Secretary of Commerce. (a) The Secretary of Commerce (hereinafter referred to as "the Secretary") shall –

(1) Coordinate as consistent with law the plans, programs, and operations of the Federal Government that affect or may contribute to the establishment, preservation, and strengthening of minority business enterprise.

(2) Promote the mobilization of activities and resources in State and local governments, businesses and trade associations, universities, foundations, professional organizations, and volunteer and other groups towards the growth of minority business enterprises, and facilitate the coordination of the efforts of these groups with those of Federal departments and agencies.

(3) Establishing a center for the development, collection, summarization, and dissemination of information that will be helpful to persons and organizations throughout the Nation in undertaking or promoting the establishment and successful operation of minority business enterprise.

(4) Within constraints of law and appropriations therefore, and according to his/her discretion, provide financial assistance to public and private organizations so that they may render technical and management assistance to minority business enterprises, and defray all or part of the costs of pilot or demonstration projects conducted by public or private agencies or organizations that are designed to overcome the special problems of minority business enterprises or otherwise to further the purposes of this order.

(b) The Secretary, as he/she deems necessary or appropriate to enable him/her to better fulfill the responsibilities vested in him/her by subsection (a). may –

(1) With the participation of other Federal departments and agencies as appropriate, develop comprehensive plans and specific program goals for the minority enterprise program; establish regular performance monitoring and reporting systems to assure that goals are being achieved; and evaluate the impact of Federal support in achieving the objectives established by this order.

(2) Require a coordinated review of all proposed Federal training and technical assistance activities in direct support of the minority enterprise program to secure consistency with program goals and to avoid duplication.

(3) Convene, for purposes of coordination, meetings of the heads of such departments and agencies, or their designees, whose programs and activities may affect or contribute to the purposes of this order.

(4) Convene business leaders, educators, and other representatives of the private sector who are engaged in assisting the development of minority business enterprise or who could contribute to its development, for private activities in furtherance of the objectives of this order.

(5) Confer with and advise officials of State and local governments.

(6) Provide the managerial and organizational framework through which joint or collaborative undertakings with Federal departments or agencies or private organizations can be planned and implemented.

(7) Recommend appropriate legislative or executive actions.

Section 2. Advisory Council for Minority Enterprise. (a) the Advisory Council for Minority Enterprise (hereinafter referred to as “the Council”), established by Executive Order No. 11468 of March 5, 1969 shall continue in existence under the terms of this order.

(b) The Council shall be composed of members appointed by the President from among persons, including members of minority groups and representatives from minority business enterprises, who are knowledgeable to this field and who are dedicated to the purpose of this order. The members shall serve for a term of two (2) years and may be reappointed.

(c) The President shall designate one of the members of the Council as the Chairman of the Council.

- (d) The Council shall meet at the call of the Secretary.
- (e) The Council shall be advisory to the Secretary in which capacity it shall –
 - (1) Serve as a source of knowledge and information on developments in different fields and segments of our economic and social life that affect minority business enterprise.
 - (2) Keep abreast of plans, programs, and activities in the public and private sectors that relate to minority business enterprise, and advise the Secretary on any measures to better achieve the objectives of this order.
 - (3) Consider, and advise the Secretary, and such officials as he/she may designate, on problems and matters referred to the Council.
- (f) For the purpose of Executive Order No. 11007 of February 26, 1962, the Council shall be deemed to have been formed by the Secretary.
- (g) Members of the Council shall be entitled to receive travel and expenses, including per diem in lieu of subsistence, as authorized by law (Sect. U.S.C. 5701-5708) for persons in the Government service employed intermittently.
- (h) The Secretary shall arrange for administrative support of the Council to the extent necessary, including use of any gifts or bequests accepted by the Department of Commerce pursuant to law.

Section 3. Responsibilities of Other Federal Departments and Agencies. (a) The head of each Federal department and agency, or a representative designed by him/her, when and in the manner so requested by the Secretary, shall furnish information, assistance, and reports to, and shall otherwise cooperate with, the Secretary in the performance of his/her functions hereunder.

(b) The head of each Federal department or agency shall, when so requested by the Secretary, designate his/her Under Secretary or such other similar official to have primary and continuing responsibility for the participation and cooperation of that department or agency in matters concerning minority business enterprise.

(c) The officials designated under the preceding paragraph, when so requested, shall review and report to the Secretary upon the policies and programs of the minority business enterprise program, and shall keep the Secretary informed of all proposed budgets, plans and programs of his/her department or agency affecting minority business enterprise.

(d) The head of each Federal department or agency, or a representative designated by him/her, shall, to the extent provided under regulations issued by the Secretary after consultation with the official designated in paragraph (b) above, report to the Secretary on any activity that falls within the scope of the minority business enterprise program as defined herein and in those regulations.

(e) Each Federal department or agency shall, within constraints of law and appropriations therefore, continue all current efforts to foster and promote minority business enterprises and to support the program herein set forth, and shall cooperate with the Secretary of Commerce in increasing the total Federal effort.

Section 4. Reports. The Secretary shall, not later than one hundred and twenty (120) days after the close of each fiscal year, submit to the President a full report of his/her activities hereunder during the previous fiscal year. Further, the Secretary shall, from time-to-time, submit to the President his/her recommendations for legislation or other action as he/she deems desirable to promote the purposes of this order. Each Federal department or agency shall report to the Secretary as hereinabove provided on a timely basis so that the Secretary may consider such reports for his/her report and recommendations to the President. Each Federal department or agency shall develop and implement systematic data collection processes that will provide to the Office of Minority Business Enterprise Information Center current data helpful in evaluating and promoting the efforts herein described.

Section 5 Policies and Standards. The Secretary may establish such policies, standards, definitions, criteria, and procedures to govern the implementations, interpretation, and application of this order, and generally perform such functions and take such steps as he/she may deem to be necessary or appropriate to achieve the purposes and carry out the provisions hereof.

Section 6. Definitions. For the purposes of this order, the following definitions shall apply:

(a) "Minority business enterprise" means a business enterprise that is owned or controlled by one or more socially or economically disadvantaged persons. Such disadvantage may arise from cultural, racial, chronic economic circumstances or background or other similar causes. Such persons include, but are not limited to, Negroes, Puerto Ricans, Spanish-speaking Americans, American Indians, Eskimos, and Aleuts.

(b) "State" means the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the territories and possessions of the United States, and the Trust Territory of the Pacific Islands.

Section 7. Construction. Nothing in this order shall be construed as subjecting any function vested in, or assigned pursuant to law to, any Federal department or agency or head thereof to the authority of any other agency or office exclusively, or as abrogating or restricting any such function in any manner.

Section 8. Prior Executive Order. Executive Order No. 11458 of March 6, 1969, is hereby superceded.

RICHARD NIXON,

THE WHITE HOUSE
October 13, 1971

Previous Participation Certification

OMB Approval No. 2502-0118
(Exp. 02/29/2016)

US Department of Housing and Urban Development
Office of Housing/Federal Housing Commissioner

US Department of Agriculture
Farmers Home Administration

Part I to be completed by Principals of Multifamily Projects (See instructions) **For HUD HQ/FmHA use only**

Reason for submission:

1. Agency name and City where the application is filed

2. Project Name, Project Number, City and Zip Code

3. Loan or Contract amount \$

4. Number of Units or Beds

5. Section of Act

6. Type of Project (check one) Existing Rehabilitation Proposed (New)

7. List all proposed Principals and attach organization chart for all organizations
Name and address of Principals and Affiliates (Name: Last, First, Middle Initial) proposing to participate

8 Role of Each Principal in Project	9. Expected % Ownership in Project	10. SSN or IRS Employer Number

Certifications: The principal(s) listed above hereby apply to HUD or USDA FmHA, as the case maybe, for approval to participate as principal(s) in the role(s) and project listed above. The principal(s) each certify that all the statements made on this form are true, complete and correct to the best of their knowledge and belief and are made in good faith, including any Exhibits attached to this form. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. The principal(s) further certify that to the best of their knowledge and belief:

- Schedule A contains a listing, for the last ten years, of every project assisted or insured by HUD, USDA FmHA and/or State and local government housing finance agencies in which the principal(s) have participated or are now participating.
- For the period beginning 10 years prior to the date of this certification, and except as shown on the certification:
 - No mortgage on a project listed has ever been in default, assigned to the Government or foreclosed, nor has it received mortgage relief from the mortgagee;
 - The principals have no defaults or noncompliance under any Conventional Contract or Turnkey Contract of Sale in connection with a public housing project;
 - There are no known unresolved findings as a result of HUD audits, management reviews or other Governmental investigations concerning the principals or their projects;
 - There has not been a suspension or termination of payments under any HUD assistance contract due to the principal's fault or negligence;
 - The principals have not been convicted of a felony and are not presently the subject of a complaint or indictment charging a felony. (A felony is defined as any offense punishable by imprisonment for a term exceeding one year, but does not include any offense classified as a misdemeanor under the laws of a State and punishable by imprisonment of two years or less);
 - The principals have not been suspended, debarred or otherwise restricted by any Department or Agency of the Federal Government or of a State Government from doing business with such Department or Agency;
 - The principals have not defaulted on an obligation covered by a surety or performance bond and have not been the subject of a claim under an employee fidelity bond;
 - All the names of the principals who propose to participate in this project are listed above.
- None of the principals is a HUD/FmHA employee or a member of a HUD/FmHA employee's immediate household as defined in Standards of Ethical Conduct for Employees of the Executive Branch in 5 C.F.R. Part 2635 (57 FR 35006) and HUD's Standard of Conduct in 24 C.F.R. Part 0 and USDA's Standard of Conduct in 7 C.F.R. Part 0 Subpart B.
- None of the principals is a participant in an assisted or insured project as of this date on which construction has stopped for a period in excess of 20 days or which has been substantially completed for more than 90 days and documents for closing, including final cost certification, have not been filed with HUD or FmHA.
- None of the principals have been found by HUD or FmHA to be in noncompliance with any applicable fair housing and civil rights requirements in 24 CFR 5.105(a). (If any principals or affiliates have been found to be in noncompliance with any requirements, attach a signed statement explaining the relevant facts, circumstances, and resolution, if any).
- None of the principals is a Member of Congress or a Resident Commissioner nor otherwise prohibited or limited by law from contracting with the Government of the United States of America.
- Statements above (if any) to which the principal(s) cannot certify have been deleted by striking through the words with a pen, and the relevant principal(s) have initiated each deletion (if any) and have attached a true and accurate signed statement (if applicable) to explain the facts and circumstances.

Name of Principal	Signature of Principal	Certification Date(mm/dd/yyyy)	Area Code and Tel. No.
This form prepared by (print name)		Area Code and Tel. No.	

Previous editions are obsolete

Previous Participation Certification

OMB Approval No. 2502-0118
(Exp. 02/29/2016)

Schedule A: List of Previous Projects and Section 8 Contracts. Below is a complete list of the principals' previous participation projects and participation history in multifamily Housing programs of HUD/FmHA, State and local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If no previous projects, write by your name, "No previous participation, First Experience".

1. Principals Name (Last, First)	2. List of previous projects (Project name, project ID and, Govt. agency involved)	3. List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of loan (current, defaulted, assigned, foreclosed)	5. Was the Project ever in default during your participation Yes No If yes, explain	6. Last MOR rating and Physical Insp. Score and date

Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or refer to Headquarters after checking appropriate box.

Date (mm/dd/yyyy)	Tel No. and area code	<input type="checkbox"/> A. No adverse information; form HUD-2530 approval recommended. <input type="checkbox"/> B. Name match in system	<input type="checkbox"/> C. Disclosure or Certification problem <input type="checkbox"/> D. Other (attach memorandum)
Staff	Director of Housing/Director, Multifamily Division		
Supervisor	Approved	<input type="checkbox"/> Yes <input type="checkbox"/> No	Date (mm/dd/yyyy)

Previous editions are obsolete

Instructions for Completing the Previous Participation Certificate, Form HUD-2530

Carefully read these instructions and the applicable regulations. A copy of those regulations published at 24 C.F.R. 200.210 to 200.245 can be obtained from the Multifamily Housing Representative at any HUD Office. Type or print neatly in ink when filling out this form. Mark answers in all blocks of the form. If the form is not filled completely, it will delay approval of your application.

Attach extra sheets as you need them. Be sure to indicate "Continued on Attachments" wherever appropriate. Sign each additional page that you attach if it refers to you or your record.

Carefully read the certification before you sign it. Any questions regarding the form or how to complete it can be answered by your HUD Office Multifamily Housing Representative.

Purpose: This form provides HUD with a certified report of all previous participation in HUD multifamily housing projects by those parties making application. The information requested in this form is used by HUD to determine if you meet the standards established to ensure that all principal participants in HUD projects will honor their legal, financial and contractual obligations and are acceptable risks from the underwriting standpoint of an insurer, lender or governmental agency. HUD requires that you certify your record of previous participation in HUD/USDA-FmHA, State and Local Housing Finance Agency projects by completing and signing this form, before your project application or participation can be approved.

HUD approval of your certification is a necessary precondition for your participation in the project and in the capacity that you propose. If you do not file this certification, do not furnish the information requested accurately, or do not meet established standards, HUD will not approve your certification.

Note that approval of your certification does not obligate HUD to approve your project application, and it does not satisfy all other HUD program requirements relative to your qualifications.

Who Must Sign and File Form HUD-2530: Form HUD-2530 must be completed and signed by all principals applying to participate in HUD multifamily housing projects, including those who have no previous participation. The form must be signed and filed by all principals and their affiliates who propose participating in the HUD project. Use a separate form for each role in the project unless there is an identity of interest.

Principals include all individuals, joint ventures,

partnerships, corporations, trusts, non-profit organizations, any other public or private entity that will participate in the proposed project as a sponsor, owner, prime contractor, turnkey developer, managing agent, nursing home administrator or operator, packager, or consultant. Architects and attorneys who have any interest in the project other than an arm's length fee arrangement for professional services are also considered principals by HUD.

In the case of partnerships, all general partners regardless of their percentage interest and limited partners having a 25 percent or more interest in the partnership are considered principals. In the case of public or private corporations or governmental entities, principals include the president, vice president, secretary, treasurer and all other executive officers who are directly responsible to the board of directors, or any equivalent governing body, as well as all directors and each stockholder having a 10 percent or more interest in the corporation.

Affiliates are defined as any person or business concern that directly or indirectly controls the policy of a principal or has the power to do so. A holding or parent corporation would be an example of an affiliate if one of its subsidiaries is a principal.

Exception for Corporations - All principals and affiliates must personally sign the certificate except in the following situation. When a corporation is a principal, all of its officers, directors, trustees and stockholders with 10 percent or more of the common (voting) stock need not sign personally if they all have the same record to report. The officer who is authorized to sign for the corporation or agency will list the names and title of those who elect not to sign. However, any person who has a record of participation in HUD projects that is separate from that of his or her organization must report that activity on this form and sign his or her name. The objective is full disclosure.

Exemptions - The names of the following parties do not need to be listed on form HUD-2530: Public Housing Agencies, tenants, owners of less than five condominium or cooperative units and all others whose interests were acquired by inheritance or court order.

Where and When Form HUD-2530 Must Be Filed: The original of this form must be submitted to the HUD Office where your project application will be processed at the same time you file your initial project application. This form must be filed with applications for projects, or when otherwise required in the situations listed below:

- Projects to be financed with mortgages insured under the National Housing Act (FHA).

- Projects to be financed according to Section 202 of the Housing Act of 1959 (Elderly and Handicapped).

- Projects in which 20 percent or more of the units are to receive a subsidy as described in 24 C.F.R. 200.213.

- Purchase of a project subject to a mortgage insured or held by the Secretary of HUD.
- Purchase of a Secretary-owned project.

- Proposed substitution or addition of a principal or principal participation in a different capacity from that previously approved for the same project.
- Proposed acquisition by an existing limited partner of an additional interest in a project resulting in a total interest of 25 percent or more or proposed acquisition by a corporate stockholder of an additional interest in a project resulting in a total interest of 10 percent or more.

- Projects with U.S.D.A., Farmers Home Administration, or with state or local government housing finance agencies that include rental assistance under Section 8 of the Housing Act of 1937. For projects of this type, form HUD-2530 should be filed with the appropriate applications directly to those agencies.

Review of Adverse Determination: If approval of your participation in a HUD project is denied, withheld, or conditionally granted on the basis of your record of previous participation, you will be notified by the HUD Office. You may request reconsideration by the HUD Review Committee. Alternatively, you may request a hearing before a Hearing Officer. Either request must be made in writing within 30 days from your receipt of the notice of determination.

If you do request reconsideration by the Review Committee and the reconsideration results in an adverse determination, you may then request a hearing before a Hearing Officer. The Hearing Officer will issue a report to the Review Committee. You will be notified of the final ruling by certified mail.

Specific Line Instructions: Reason for submitting this Certification: e.g., refinance, change in ownership, change in management agent, transfer of physical assets, etc.

Block 1: Fill in the name of the agency to which you are applying. For example: HUD Office, Farmers Home Administration District office, or the name of a State or local housing finance agency. Below that, fill in the name of the city where the office is located.

Block 2: Fill in the name of the project, such as "Greenwood Apts." If the name has not yet been selected, write "Name unknown." Below that, enter the HUD contract or project identification number, or the Farmers Home Administration project number, or

the State or local housing finance agency project or contract number. Include all project or contract identification numbers that are relevant to the project. Also enter the name of the city in which the project is located, and the ZIP Code.

Block 3: Fill in the dollar amount requested in the proposed mortgage, or the annual amount of rental assistance requested.

Block 4: Fill in the number of apartment units proposed, such as "40 units." For hospital projects or nursing homes, fill in the number of beds proposed, such as "100 beds."

Block 5: Fill in the section of the Housing Act under which the application is filed.

Block 7: Definitions of all those who are considered principals and affiliates are given above in the section titled "Who Must Sign and File..."

Block 8: Beside the name of each principal, fill in the appropriate role. The following are examples of possible roles that the principals may assume: Owner/Mortgagor, Managing Agent, Sponsor, Developer, General Contractor, Packager, Consultant, Nursing Home Administrator etc.

Block 9: Fill in the percentage of ownership in the proposed project that each principal is expected to have.

Block 10: Fill in the Social Security Number or IRS employer number of every principal listed, including affiliates.

Instructions for Completing Schedule A:

Be sure that Schedule A is filled-in completely, accurately and the certification is properly dated and signed, because it will serve as a legal record of your previous experience. All Multifamily Housing projects involving HUD/FmHA, and State and local Housing Finance Agencies in which you have previously participated must be listed. Applicants are reminded that previous participation pertains to the individual principal within an entity as well as the entity itself. A newly formed company may not have previous participation, but the principals within the company may have had extensive participation and disclosure of that activity is required.

Column 2: All previous projects must be listed or your certification cannot be processed. Include the name of all projects, project number, city where it is located and the governmental agency (HUD, USDA-FmHA or state or local housing finance agency) that was involved.

Column 3: List the role(s) as a principal, dates participated and if fee or identity of interest (IOI) with owners.

Column 4. Indicate the current status of the loan. Except for current loan, the date associated with the status is required. Loans under a workout arrangement are considered assigned. For all noncurrent loans, an explanation of the status is required.

Column 5. Explain any project defaults during your participation.

Column 6. Provide the latest Management Review (MOR) rating and Physical Inspection score.

Certification: After you have completed all other parts of

form HUD-2530, including schedule A, read the Certification carefully. In the box below the statement of the certification, fill in the names of all principals and affiliates as listed in block 7. Each principal should sign the certification with the exception in some cases of individuals associated with a corporation (see "Exception for Corporations" in the section of the instructions titled "Who Must Sign and File Form HUD-2530). Principal who is signing on behalf of the entity should attach signature authority document. Each principal who signs the form

should fill in the date of the signature and a telephone number. By providing a telephone number, HUD can reach you in the event of any questions.

If you cannot certify and sign the certification as it is printed because some statements do not correctly describe your record, use a pen to strike through those parts that differ with your record, and then sign and certify. Attach a signed statement of explanation of the items you have struck out on the certification. Item 2e. relates to felony convictions within the past 10 years. If you are convicted of a felony within the past 10 years, strike out 2e. and attach statement of explanation. A felony conviction will not necessarily cause your participation to be disapproved unless there is a criminal record or other evidence that your previous conduct or method of doing business has been such that your participation in the project would make it an unacceptable risk from the underwriting stand point of an insurer, lender or governmental agency.

The Department of Housing and Urban Development (HUD) is authorized to collect this information by law (42 U.S.C. 3535(d) and 24 C.F.R. 200.217) and by regulation at 24 CFR 200.210. This information is needed so that principals applying to participate in multifamily programs can become HUD-approved participants. The information you provide will enable HUD to evaluate your record with respect to established standards of performance, responsibility and eligibility. Without prior approval, a principal may not participate in a proposed or existing multifamily project. HUD uses this information to evaluate whether or not principals pose an unsatisfactory underwriting risk. The information is used to evaluate the potential principals and approve only individuals and organizations that will honor their legal, financial and contractual obligations.

Privacy Act Statement: The Housing and Community Development Act of 1987, 42 U.S.C. 3543 requires persons applying for a Federally-insured or guaranteed loan to furnish his/her Social Security Number (SSN). HUD must have your SSN for identification of your records. HUD may use your SSN for automated processing of your records and to make requests for information about you and your previous records with other public agencies and private sector sources. HUD may disclose certain information to Federal, State and local agencies when relevant to civil, criminal, or regulatory investigations and prosecutions. It will not be otherwise disclosed or released outside of HUD, except as required and permitted by law. You must provide all of the information requested in this application, including your SSN.

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

A response is mandatory. Failure to provide any of the information will result in your disapproval of participation in this HUD program.

LIST OF SUBCONTRACTORS

LIST OF SUBCONTRACTORS PERFORMING WORK OR LABOR OR RENDERING SERVICES IN EXCESS OF ONE-HALF OF ONE PERCENT OF THE PRIME CONTRACTOR'S TOTAL BID.

	Name and Address	Work to be Performed	License # and Classification	E-Mail & Phone #
1	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____
2	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____
3	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____
4	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____
5	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____
6	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____
7	_____ _____ _____	_____ _____ _____	_____ _____ _____	_____ _____ _____

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CERTIFICATION FOR CONTRACTS, GRANTS, LOANS
AND COOPERATIVE AGREEMENTS

TO:

SUBJECT: Project Name: General Property Improvements
Project Address:

The undersigned certifies, to the best of his/her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, “Disclosure Form to Report Lobbying”, in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made and entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.

Date

Signature

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DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known</i> : Congressional District, <i>if known</i> : 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, <i>if known</i> :	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, <i>if applicable</i> : _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i>	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

WORK WRITE-UP

NSP 3
800 Kimball Ave.
Yuba City CA 95993

Prepared by:
Larry Tinker
Rehabilitation Specialist
Regional Housing Authority
of Sutter & Nevada Counties

Exterior Property Improvements

- 1 **Demolition:** Remove wood deck, screen walls and concrete sidewalk from south side of house. Remove patio cover, sidewalk and concrete patio on east side of house. Provide top soil to fill areas where concrete is removed to provide correct drainage.

\$ _____

- 2 **Concrete flat work:** Pour new concrete patio and steps on south side of house. New patio shall extend along back of house to corner of house to bedroom wall and south to corner of house. Pour new 36" wide concrete sidewalk from front porch around east side to bedroom door steps and from steps around south side to patio. Replace existing driveway and pour a side walk around west side from driveway to existing sidewalk.

\$ _____

- 3 **Fencing:** Replace fencing along south side of property. New fence shall consist of steel U channel posts, 3 rails, and 1"X 6"X 6' solid cedar or redwood boards. Install a 5" high gate on the east side of the house.

\$ _____

- 4 **Garage:** Remove existing flat roof over garage, including shingles, sheathing and framing. Build a new pitched roof over garage, gable end over garage door.

\$ _____

- 5 **Roofing:** Remove all existing roofing and flashings. Extend rafters a minimum of 24 inches and gable overhangs a minimum of 18 inches. Sheathing material shall 1" X ? tongue & groove or v rustic to match existing width boards. Install new flashings and roof jacks as required. Install eave vents and "eyebrow" vents to meet current attic ventilation code requirements. Install 30 year dimensional shingles, color chosen by Housing Authority.

\$ _____

6 **Front door:** Install new prehung front door, New door shall be a Benchmark Craftsman 1-lite fiberglass door or pre-approved equal. \$ _____

7 **Exterior stucco:** Remove existing exterior stucco. Contractor to have the pest company prepare a supplementary termite report. Install a new 3 coat stucco system with top coat being an acrylic color coat, Owners choice of color. Install foam trim around doors, windows and over garage door. trim around doors and windows shall be aprox. 1.5"X4" with an ogee trim under windows. \$ _____

8 **Exterior painting:** Prep and paint all surfaces except main stucco body. Any new or bare wood shall be primed with a suitable primer before painting. New stucco trim around windows and doors shall be painted to match other trim work. \$ _____

9 **Front porch:** Remove existing front porch roof and posts. Pour a new concrete porch with footings along the entire front of house from garage to east corner of house extending out 6 feet. Extend roof out over front porch. \$ _____

Interior Property Improvements

10 **HVAC:** Replace complete HVAC system including new ducts and registers. New system shall be "Energy Star" rated. \$ _____

11 **Fireplace:** Remove existing mantel from fireplace. Install 1/4" tile backer over plaster on fireplace. Construct a 6" raised hearth over brick on old hearth with tile backer on surface. Install Dal-Tile Natural Stone Collection Crema Maufil (or preapproved equal) tile on fireplace and hearth. Install a new oak mantel to match oak flooring. Install a gas log in fireplace. \$ _____

12 **Water heater:** Remove water heater and heater closet from kitchen. Install a tankless on demand water heater in garage. \$ _____

13 **Ceiling fans:** Install two ceiling fans in the living room and one in each bedroom. New fans shall be Seasons Gold 52", HD part number 269508 or pre-approved equal. \$ _____

- 14 **Wood floors:** Contractor to refinish wood floors in the living room, hall and both bedrooms. Remove old furnace grate in living room and blend new wood flooring into hole. Floors are to be sanded, stained, sealed, and a minimum of two coats of clear water bourne floor finish applied as per manufacturer's recommendations. \$ _____
- 15 **Windows:** Install new white vinyl windows. Windows shall be Milgard or approved equal. \$ _____
- 16 **Linen storage:** Remove existing drawers from hall storage and replace missing rail. Install new drawers with side mount full extension drawer sliders. \$ _____
- 17 **Door bell:** Install a door bell on front door. Chime shall be Heath Zenith # ZE-65-A and shall be installed in the chime alcove in the hall. \$ _____
- 18 **Bathroom:** Remove and retain toilet and vanity. Remove and discard bath tub/shower. Install a steel and enamel tub and new Moen tub/shower valve # 62370 with trim kit # T62133. Install 4"X 4" or 6" X 6" white tile with black border around tub and on floor with black tile base. Tile shall be installed over cement board backer. Reinstall vanity and toilet. Install Moen 24" towel bar # YB2824CH, towel ring # YB2826CH, and paper holder YB2808CH or preapproved equal. \$ _____
- 19 **Doors:** Install new prehung 2 panel rounded top hollow core doors in the interior. Install new door trim to match existing as close as possible. Install matching solid core prehung between house and garage. Install new Kwikset Lido lever handle sets on new doors. Install new prehung Benchmark Craftsman fiberglass entry door. New door shall have passage handle and Kwikset Titan series deadbolt, bolt to be keyed to Housing Authority master and contractor to furnish 4 copies of new key at project closeout. Install new prehung 2 panel 9 lite kitchen door, hardware to match front door. See attached drawing for location of new kitchen door. Install 4 panel fiberglass prehung door from garage to outside, hard ware to match front door. \$ _____

- 20 **Kitchen:** Remove and dispose of existing kitchen cabinets. Install new kitchen cabinets as shown on attached drawing, see grades and standards for cabinet construction. Cabinet contractor shall provide a matching built in bench on the east and south wall of the breakfast nook. Eliminate the door on the east wall of the kitchen. Install a new window where the old exterior door was removed and repair plaster in kitchen and hall to match. Install post formed plastic laminate counter tops. Tops shall have a 180 wrap front. Laminate shall be Wilson Art HD # 4761 Mystic Mount. Install a double sink and faucet. Sink shall be a Kohler model Toccata stainless self rimming. Faucet shall be a moen single handle with sprayer. Install a 3/4 hp garbage disposal. Install a range hood, Air King model #ESQ22306. Install an electric range, Whirlpool model #WFE510SOAB. Install a dishwasher, GE model #GDF520PGOBB. Install a refrigerator, Frigidaire model #LFHT2117LB. Install new vinyl flooring, Mannington Fusion Flex Kasbah- Graphite. Install wood base to match remainder of house. Install recessed lights in locations shown on attached drawing.
- \$ _____
- 21 **Electrical:** Replace the entire electrical system. New system shall include a new 200 amp service panel and meter box. New system shall meet current California Residential Building Code. Contractor to include a \$500.00 allowance for fixtures not already listed as part of this write-up.
- \$ _____
- 22 **Door casing and baseboard:** replace any casing missing on exterior doors and any missing baseboard. Molding shall match existing as close as possible.
- \$ _____
- 23 **Garage:** Install 5/8" drywall on walls between garage and house and fire tape. Install two 48" two tube fluorescent fixtures in garage.
- \$ _____
- 24 **Interior painting and plaster:** Make all necessary repairs to the interior plaster prior to painting. Remove any loose paint and prime any bare or repaired areas prior to painting. Paint all interior walls with 2 coats of semi gloss, color is Sherwin Williams Nomadic Desert. Paint all doors and casing with 2 coats of Sherwin Williams semi gloss, color Navajo White.
- \$ _____
- 25 **Insulation:** Install R-13 insulation in exterior walls prior to installing new stucco. Install R-36 insulation in attic.
- \$ _____

- 26 **Whole house fan:** Install a quiet cool whole house fan in the hall. Install a 6 hour timer on the switch. \$ _____

Landscape Improvements

- 27 **Trees and shrubs:** Remove all trees and shrubs that are identified with orange paint or yellow construction tape. Remove stumps below the surface a minimum of 12". Remove all dead material from the palm tree. \$ _____

- 28 **Ground cover:** Remove all ivy from the front and back yards. \$ _____

- 29 **Sprinklers:** Install a sprinkler system in the front and back yard. Any disturbed soil in the lawn shall be reseeded. System shall include a digital timer mounted on the outside of the garage and a rain sensor with automatic reset. \$ _____

- 30 **Brick patio area:** Remove small brick patio area and fill with compacted topsoil to grade. Seed area with lawn seed. \$ _____

- 31 **New plants:** Install landscape barrier and decorative crushed rock along east side of house between sidewalk and fence. Plant 4 Feather Grass plants and 4 English Lavender plants, spaced evenly. Provide drip irrigation as part of sprinkler system. \$ _____

Fees & Permits

CONTRACTORS ARE RESPONSIBLE FOR ALL PERMITS, IMPACT FEES, BONDS, BLUEPRINTS, ENGINEERING FEES, PEST CLEARANCE, AND LEAD BASE PAINT FINAL CLEARANCE. \$ _____

Pest Report

- 9A See item 1 above, this will not require any additional cost \$ _____

- 9B See item 1 above, this will not require any additional cost \$ _____

- 10A See item 17 above, this will not require any additional cost \$ _____

11A See item 9 above, this will not require any additional cost

\$ _____

Lead Report

Review the findings of the Lead Risk Assessment Report and use lead safe work practices for renovation as required by Federal, State and Local guidelines. The contractor performing the abatement must have all required certifications and licenses to perform lead abatement and must have a clearance test performed. The General Contractor must furnish copies of their or their sub-contractors certifications and list the name and license number of said sub-contractor on the sub-contractor list. Any additional cost incurred for abatement must be shown here.

\$ _____

Asbestos Report

There were no positive samples for asbestos found
(See attached report)

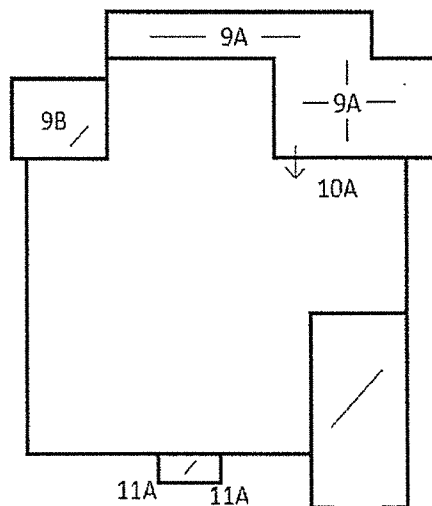
Total Contractor Bid

\$ _____

WOOD DESTROYING PESTS AND ORGANISMS INSPECTION REPORT

Building No. 800	Street Kimball Ave	City Yuba City	Zip 95991	Date of Inspection 08/21/14	Number of Pages 6
Yuba City Pest Control, Inc. 1469 Butte House Rd., Suite F Yuba City CA 95993 Tel 530-755-2555 Fax 530-755-4672 Alt 530-300-0640 ycpctermite@att.net				Report #: 9252 Registration #: PR5162 Escrow # : <input type="checkbox"/> CORRECTED REPORT	
Ordered by: Regional Housing Authority Attn: Monica 1455 Butte House Rd Yuba City, Ca. 95993		Property Owner and/or Party of Interest: City of Yuba City 800 Kimball Ave Yuba City CA 95991		Report sent to: City of Yuba City Attn: Katrina Woods 1185 Market St Yuba City, Ca. 95991	
COMPLETE REPORT <input checked="" type="checkbox"/> LIMITED REPORT <input type="checkbox"/> SUPPLEMENTAL REPORT <input type="checkbox"/> REINSPECTION REPORT <input type="checkbox"/>					
GENERAL DESCRIPTION: One story, single family dwelling, attached garage, unoccupied, unfurnished.				Inspection Tag Posted: IN WATER HEATER CLOSET Other Tags Posted: Sutter Buttes 05/07/14	
An inspection has been made of the structure(s) shown on the diagram in accordance with the Structural Pest Control Act. Detached porches, detached steps, detached decks and any other structures not on the diagram were not inspected.					
Subterranean Termites <input type="checkbox"/> Drywood Termites <input type="checkbox"/> Fungus / Dryrot <input checked="" type="checkbox"/> Other Findings <input type="checkbox"/> Further Inspection <input type="checkbox"/> If any of the above boxes are checked, it indicates that there were visible problems in accessible areas. Read the report for details on checked					

Diagram Not To Scale



Inspected By: Dale Brookins

State License No. OPR 10895

Signature:

Dale Brookins

You are entitled to obtain copies of all reports and completion notices on this property reported to the Structural Pest Control Board during the preceding two years. To obtain copies contact: Structural Pest Control Board, 2005 Evergreen Street, Suite 1500, Sacramento, California, 95815-3831.

NOTE: Questions or problems concerning the above report should be directed to the manager of the company. Unresolved questions or problems with services performed may be directed to the Structural Pest Control Board at (916) 561-8708, (800) 737-8188 or www.pestboard.ca.gov. 43M-41 (Rev. 10/01)

Yuba City Pest Control, Inc.

Page 2 of inspection report

800	Kimball Ave	Yuba City	CA	95991
Address of Property Inspected		City	State	Zip
Stamp No.	08/21/14	9252	Escrow No.	
	Date of Inspection	Co. Report No.		

WHAT IS A WOOD DESTROYING PEST & ORGANISM INSPECTION REPORT? READ THIS DOCUMENT. IT EXPLAINS THE SCOPE AND LIMITATIONS OF A STRUCTURAL PEST CONTROL INSPECTION AND A WOOD DESTROYING PEST & ORGANISM INSPECTION REPORT.

A Wood Destroying Pest & Organism Inspection Report contains findings as to the presence or absence of evidence of wood destroying pests and organisms in visible and accessible areas and contains recommendations for correcting any infestations or infections found. The contents of Wood Destroying Pest & Organism Inspection Reports are governed by the Structural Pest Control Act and regulations.

Some structures do not comply with building code requirements or may have structural, plumbing, electrical, mechanical, heating, air conditioning or other defects that do not pertain to wood destroying organisms. A Wood Destroying Pest & Organism Inspection Report does not contain information on such defects, if any, as they are not within the scope of the licenses of either this company, or it's employees.

The Structural Pest Control Act requires inspection of only those areas which are visible and accessible at the time of inspection. Some areas of the structure are not accessible to inspection, such as the interior of hollow walls, spaces between floors, areas concealed by carpeting, appliances, furniture or cabinets. Infestations or infections may be active in these areas without visible and accessible evidence. If you desire information about areas that were not inspected, a further inspection may be performed at an additional cost. Carpets, furniture or appliances are not moved and windows are not opened during a routine inspection.

The exterior Surface of the roof was not inspected. If you want the water tightness of the roof determined, you should contact a roofing contractor who is licensed by the Contractor's State License Board.

This company does not certify or guarantee against any leakage, such as (but not limited to) plumbing, appliances, walls, doors, windows, any type of seepage, roof or deck coverings. This company renders no guarantee, whatsoever, against any infection, infestation or any other adverse condition which may exist in such areas or may become visibly evident in such area after this date. Upon request, further inspection of these areas would be performed at an additional charge.

In the event damage or infestation described herein is later found to extend further than anticipated, our bid will not include such repairs. **OWNER SHOULD BE AWARE OF THIS CLOSED BID WHEN CONTRACTING WITH OTHERS OR UNDERTAKING THE WORK HIMSELF/HERSELF.**

If requested by the person ordering this report, a re-inspection of the structure will be performed. Such requests must be within four (4) months of the date of this inspection. Every re-inspection fee amount shall not exceed the original inspection fee.

Wall paper, stain, or interior painting are excluded from our contract. New wood exposed to the weather will be prime painted, only upon request at an additional expense.

This company will reinspect repairs done by others within four months of the original inspection. A charge, if any, can be no greater than the original inspection fee for each reinspection. The reinspection must be done within ten (10) working days of request. The reinspection is a visual inspection and if inspection of concealed areas is desired, inspection of work in progress will be necessary. Any guarantees must be received from parties performing repairs.

"NOTICE: Reports on this structure prepared by various registered companies should list the same findings (i.e. termite infestations, termite damage, fungus damage, etc.). However, recommendations to correct these findings may vary from company to company. You have a right to seek a second opinion from another company."

This Wood Destroying Pest & Organisms Report DOES NOT INCLUDE MOLD or any mold like conditions. No reference will be made to mold or mold-like conditions. Mold is not a Wood Destroying Organism and is outside the scope of this report as defined by the Structural Pest Control Act. If you wish your property to be inspected for mold or mold like conditions, please contact the appropriate mold professional.

Yuba City Pest Control, Inc.

Page 3 of 6 of Standard Inspection Report

800 Kimball Ave
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Yuba City
City

CA
State

95991
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Stamp No.	08/21/14 Date of Inspection	9252 Co. Report No.	Escrow No.
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NOTE: THE FOLLOWING AREAS, WHEN THEY EXIST, ARE CONSIDERED INACCESSIBLE FOR INSPECTION: THE INTERIORS OF HOLLOW WALLS AND ALL ENCLOSED SPACES BETWEEN A FLOOR OR PORCH DECK AND THE CEILING OR SOFFIT BELOW; AREAS BETWEEN ABUTTING/ATTACHED ROW HOUSES, TOWNHOUSES, CONDOMINIUMS AND SIMILAR STRUCTURES; PORTIONS OF THE ATTIC CONCEALED OR MADE INACCESSIBLE BY INSULATION; PORTIONS OF THE ATTIC CONCEALED OR MADE INACCESSIBLE BY DUCTING; PORTIONS OF THE ATTIC OR ROOF CAVITY CONCEALED DUE TO AN INADEQUATE CRAWL SPACE; THE INTERIORS OF BOXED EAVES; EAVES CONCEALED BY PATIO COVERS OR OTHER ABUTMENTS; PORTIONS OF THE SUBAREA CONCEALED OR MADE INACCESSIBLE BY INSULATION; PORTE COCHERES; INCLOSED BAY WINDOWS; AREAS BENEATH WOOD FLOORS OVER CONCRETE; AREAS CONCEALED BY BUILT-IN CABINET WORK; AREAS CONCEALED BY FLOOR COVERINGS, SUCH AS WALL-TO-WALL CARPETING, LINOLEUM, CERAMIC TILE, ETC.; AND AREAS CONCEALED BY "BUILT-IN" APPLIANCES.

NOTE: THE FOLLOWING AREAS, WHEN THEY EXIST, ARE CONSIDERED INACCESSIBLE FOR INSPECTION: AREAS CONCEALED BY INTERIOR FURNISHINGS; AREAS CONCEALED BY FLOOR COVERINGS, SUCH AS AREA RUGS, THROW RUGS, BATH AND KITCHEN MATS, ETC.; AREAS CONCEALED BY "FREE STANDING" APPLIANCES; AREAS CONCEALED BY STORAGE; AREAS CONCEALED BY HEAVY VEGETAION; AND AREAS WHERE LOCKS PREVENTED ACCESS. THESE AREAS WILL BE INSPECTED FOR A FEE, IF THEY ARE MADE ACCESSIBLE AT THE OWNER'S EXPENSE. A SUPPLEMENTAL REPORT WILL BE ISSUED AND ANY FINDINGS AND RECOMMENDATIONS WILL BE LISTED ALONG WITH ESTIMATES FOR REPAIR AND/OR TREATMENT, IF WITHIN THE SCOPE OF THIS COMPANY'S OPERATIONS. NO OPINION IS RENDERED CONCERNING CONDITIONS IN THESE AREAS AT THIS TIME.

NOTE: INSPECTIONS ARE MADE AND REPORTS ARE ISSUED ON THE BASIS OF WHAT WAS VISIBLE AND ACCESSIBLE AT THE TIME OF THE INSPECTION. THE ABSENCE OF VISIBLE EVIDENCE OF WOOD DESTROYING ORGANISMS IN THE VISIBLE AND ACCESSIBLE PORTIONS OF THE STRUCTURE IS NO ASSURANCE THAT WOOD DESTROYING ORGANISMS ARE NOT PRESENT IN INACCESSIBLE AREAS NOR THAT FUTURE INFESTATIONS WILL NOT OCCUR. THEREFORE, WE DO NOT ASSUME ANY RESPONSIBILITY FOR THE PRESENCE OF WOOD DESTROYING ORGANISMS, OR DAMAGE DUE TO SUCH ORGANISMS, IN AREAS THAT WERE NOT VISIBLE AND ACCESSIBLE AT THE TIME OF THE INSPECTION OR THAT MAY OCCUR IN THE FUTURE.

NOTE: THE EXTERIOR AREAS OF THIS STRUCTURE WERE VISUALLY INSPECTED FROM THE GROUND LEVEL. AREAS OF TEH EXTERIOR THAT EXHIBITED VISIBLE SIGNS OF INFESTATION, INFECTION OR DAMAGE FROM SAME WILL BE DESCRIBED IN THE BODY OF THIS REPORT.

NOTE: IF ANY INFESTATION, INFECTION OR DAMAGE IS DISCOVERED IN A CONCEALED AREA DURING THE COURSE OF PERFORMING ANY RECOMMENDATION IN THIS REPORT, THIS COMPANY WILL ISSUE A SUPPLEMENTAL REPORT. THIS COMPANY IS NOT RESPONSIBLE FOR CONTROLLING SUCH INFESTATIONS OR INFECTIONS NOR FOR REPAIRING SUCH DAMAGE. IF THE ADDITIONAL WORK REQRID IS WITHIN THE SCOPE OF THIS COMPANY'S OPERATIONS. A COST ESTIMATE WILL BE PROVIDED WITH THE SUPPLEMENTAL REPORT.

NOTE: THE OWNER OF THIS PROPERTY HAS CERTAIN RESPONSIBILITIES REGARDING THE NORMAL MAINTENANCE THAT PERTAINS TO THE DETERRENCE OF WOOD DESTROYING ORGANISMS. THESE NORMAL MAINTENANCE PROCEDURES INCLUDE, BUT ARE NOT LIMITED TO: MAINTENANCE OF THE ROOF, GUTTERS, AND DOWNSPOUTS; CAULKING AROUND DOOR, WINDOWS, VENTS TUB AND SHOWER ENCLOSURES; KEEPING SOIL LEVELS BELOW THE TOP OF THE FOUNDATIONS;

Yuba City Pest Control, Inc.

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KEEPING STORED ITEMS (INCLUDING FIREWOOD) AT LEAST TWELVE (12") INCHES AWAY FROM THE STRUCTURE; ADJUSTING SPRINKLERS SO THAT THEY DO NOT SPRAY ONTO THE STRUCTURE; PROHIBITING SOIL TO CONTACT THE WOOD COMPONENTS OF THE STRUCTURE; AND PREVENTING VEGETATION OR OTHER ITEMS FROM BLOCKING VENTS.

This property was not inspected for the presence of health related molds or fungi. By California law, we are neither qualified, authorized nor licensed to inspect for health-related molds or fungi. If you desire information about the presence or absence of health-related molds or fungi, you should contact an industrial hygienist.

Molds, sometimes called mildew, are not wood-destroying organisms. Branch 3 licensees do not have a duty under the Structural Pest Control Act and related regulations to classify molds as harmful to human health or not harmful to human health. This does not modify the Structural Pest Control Act or related regulations. This statement is not being provided to you for informational purposes.

NOTICE: REPORTS ON THIS STRUCTURE PREPARED BY VARIOUS REGISTERED COMPANIES SHOULD LIST THE SAME FINDINGS (i.e., termite infestation, termite damage, fungus damage, etc.). HOWEVER, RECOMMENDATIONS TO CORRECT THESE FINDINGS MAY VARY FROM COMPANY TO COMPANY. YOU HAVE A RIGHT TO SEEK A SECOND OPINION FROM ANOTHER COMPANY.

NOTE: THIS IS A SEPARATED REPORT WHICH IS DEFINED AS SECTION I/SECTION II CONDITIONS EVIDENT ON THE DATE OF THE INSPECTION. SECTION I CONTAINS ITEMS WHERE THERE IS VISIBLE EVIDENCE OF ACTIVE INFESTATION, INFECTION OR CONDITIONS THAT HAVE RESULTED IN OR FROM INFESTATION OR INFECTION BUT WHERE NO VISIBLE EVIDENCE OF SUCH WAS FOUND. FURTHER INSPECTION ITEMS ARE DEFINED AS RECOMMENDATIONS TO INSPECT AREAS WHICH DURING THE ORIGINAL INSPECTION DID NOT ALLOW THE INSPECTOR ACCESS TO COMPLETE THE INSPECTION AND CANNOT BE DEFINED AS SECTION I OR SECTION II.

FINDING: 9A

Fungus has damaged the woodmembers at the wood deck.

NOTE: THIS FINDING/RECOMMENDATION IS SECTION I.

RECOMMENDATION:

Remove the fungus damaged wood. Replace with new material.

NOTE:

THE OWNER SHOULD EMPLOY THE SERVICES OF A LICENSED CONTRACTOR OR OTHER APPROPRIATELY SKILLED TRADES-PERSON TO PERFORM THE WORK IN THE FINDING/RECOMMENDATION ABOVE. THIS FIRM DECLINES TO SUBMIT A BID OR ESTIMATE FOR THIS FINDING/RECOMMENDATION.

Yuba City Pest Control, Inc.

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FINDING: 9B

Fungus has damaged the wood of the slotted patio cover.

NOTE: THIS FINDING/RECOMMENDATION IS SECTION I.

RECOMMENDATION:

Remove the damaged wood and replace it with new material. The new material could be wood of known natural resistant to decay or the wood could be painted and/or sealed, etc. to protect it from uncontrollable external weather conditions.

NOTE:

THE OWNER SHOULD EMPLOY THE SERVICES OF A LICENSED CONTRACTOR OR OTHER APPROPRIATELY SKILLED TRADES-PERSON TO PERFORM THE WORK IN THE FINDING/RECOMMENDATION ABOVE. THIS FIRM DECLINES TO SUBMIT A BID OR ESTIMATE FOR THIS FINDING/RECOMMENDATION.

FINDING: 10A

Water stains noted at baseboard next to tub.

NOTE: THIS FINDING/RECOMMENDATION IS SECTION II.

RECOMMENDATION:

Owner should engage the services of an appropriate tradesperson to inspect the area and make repairs as necessary.

FINDING: 11A

Fungus has damaged support posts at/in front porch.

NOTE: THIS FINDING/RECOMMENDATION IS SECTION I.

RECOMMENDATION:

Remove the fungus damaged wood. If no further damage is exposed, replace the fungus damaged wood with new material.

NOTE:

THE OWNER SHOULD EMPLOY THE SERVICES OF A LICENSED CONTRACTOR OR OTHER APPROPRIATELY SKILLED TRADES-PERSON TO PERFORM THE WORK IN THE

Yuba City Pest Control, Inc.

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FINDING/RECOMMENDATION ABOVE. THIS FIRM DECLINES TO SUBMIT A BID OR ESTIMATE FOR THIS FINDING/RECOMMENDATION.

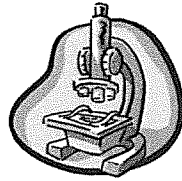
Asbestos Science Technologies, Inc.

P.O. Box 505

Bangor, Ca. 95914

530-518-0934

email - astinc17@yahoo.com



Lead Narrative

Site Inspected: 800 Kimball in Yuba City, Ca.

Date Of Inspection: August 25, 2014

An inspection was conducted of the property listed above on August 25, 2014 by Laurie Warren Department of Health Services Lead - ID # 24602 Exp. 06/27/2015 Samples were taken of suspect material. The lead samples taken were sent to Schneider Laboratories at 2512 W. Cary Street in Richmond Virginia for analysis using Atomic Absorption Spectroscopy. The analysis was in accordance with EPA 7000 B Methodology using SLI P26 A14. **There were several samples which exceeded the established Housing and Urban Development (U.S. EPA) criteria of 1.0 milligrams per centimeter squared, 5000 parts per million or 0.5% lead by weight for the definition of Lead Based Paint.**

They are as follows: Sample 1 which was taken of the cream paint in the living room. Sample 3 which was taken of the gray paint by the fireplace. Sample 12 which was taken of the front door red paint and sample 14 which was taken of the pink bathroom tile.

Note: There are several samples which are below the HUD requirements, but which are still subject to CAL/OSHA requirements under 1532.1

Laurie Warren

This report prepared by Laurie Warren - Department of Health Services ID# 24602 EXP. 6/27/2015



Analysis Report

Schneider Laboratories Global, Inc

2512 W. Cary Street • Richmond, Virginia • 23220-5117
804-353-6778 • 800-785-LABS (5227) • Fax 804-359-1475

Customer: Asbestos Science Technologies, Inc (4038)
Address: 191 Hazel Street
Gridley, CA 95914

Order #: 110085

Matrix: Bulk, Paint
Received: 08/26/14
Analyzed: 08/27/14
Reported: 08/28/14

Attn:
Project: 800 Kimball
Location: Yuba Cty, CA
Number:

PO Number:

Table with 7 columns: Sample ID, Cust. Sample ID, Location Method, Sample Date, Weight Total µg, Conc. % by Wt. RL, Conc. Rows include sample details for 110085-001 through 110085-013.

Minimum reporting limit: 10.0 µg. Lead Based Paint contains 0.5% lead by weight per Federal statute. The OSHA Lead in Construction Standard, 29 CFR 1926.62, is invoked if any lead is present in the sample. Concentration and Reporting Limit (RL) based on weights provided by client. All internal QC parameters were met. Unusual sample conditions, if any, are described. Values are reported to three significant figures. PPM = mg/kg | PPB = µg/kg. The analysis data reported relates only to the samples as submitted.



Analysis Report

Schneider Laboratories Global, Inc

2512 W. Cary Street • Richmond, Virginia • 23220-5117
804-353-6778 • 800-785-LABS (5227) • Fax 804-359-1475

Customer: Asbestos Science Technologies, Inc (4038)
Address: 191 Hazel Street
Gridley, CA 95914

Order #: 110085

Matrix Bulk, Paint
Received 08/26/14
Analyzed 08/27/14
Reported 08/28/14

Attn:
Project: 800 Kimball
Location: Yuba Cty, CA
Number:

PO Number:

Sample ID	Cust. Sample ID	Location	Sample Date	Weight			
Parameter		Method		Total µg	Conc. % by Wt.	RL	Conc.
Lead		EPA 7000B / 3050B		940 µg	0.245 %	52.2 mg/kg	2450 mg/kg
110085-014	14	Bathroom Tile	08/25/14	546 mg			
Lead		EPA 7000B / 3050B		13100 µg	2.39 %	916 mg/kg	23900 mg/kg
110085-015	15	Ext.	08/25/14	322 mg			
Lead		EPA 7000B / 3050B		406 µg	0.126 %	31.1 mg/kg	1260 mg/kg
110085-016	16	Ext.	08/25/14	323 mg			
Lead		EPA 7000B / 3050B		223 µg	0.0689 %	31.0 mg/kg	689 mg/kg
110085-017	17	Ext.	08/25/14	313 mg			
Lead		EPA 7000B / 3050B		24.3 µg	0.00776 %	31.9 mg/kg	77.6 mg/kg

Analyst: IH
110085-08/28/14 09:07 AM

Abisola O Kasali

Reviewed By: Abisola Kasali
Metals Supervisor

Minimum reporting limit: 10.0 µg. Lead Based Paint contains 0.5% lead by weight per Federal statute. The OSHA Lead in Construction Standard, 29 CFR 1926.62, is invoked if any lead is present in the sample. Concentration and Reporting Limit (RL) based on weights provided by client. All internal QC parameters were met. Unusual sample conditions, if any, are described. Values are reported to three significant figures. PPM = mg/kg | PPB = µg/kg. The analysis data reported relates only to the samples as submitted.



SCHNEIDER LABORATORIES GLOBAL, INC.

2512 West Cary Street, Richmond, Virginia 23220-5117
 804-353-6776 - 800-785-LABS (5227) - Fax 804-353-1475
 www.slabinc.com e-mail: info@slabinc.com

110085



V:110N110085

Submitting Co. <u>Asbestos Science Tech, INC</u>	Lab #/ref	Phone <u>530-518-0934</u>
<u>P.O Box 505</u>	Acct # <u>4038</u>	Fax / Email <u>astinc17@yahoo.com</u>
<u>Bangor, CA 95914</u>	**State of Collection	CEP Required <input type="checkbox"/> Yes <input type="checkbox"/> No
Project Name: <u>800 Kimball</u>	Special Instructions [include requests for special reporting or data packages]	
Project Location: <u>yuba, city, CA</u>		
Project Number:		
PO Number:		

Turn Around Time	Matrix / Sample Type (Select ONE)	Tests / Analytes (Select ALL that Apply)		
<input type="checkbox"/> 2 hours* <input type="checkbox"/> Same day* <input type="checkbox"/> 1 business day* <input type="checkbox"/> 2 business days* <input type="checkbox"/> 3 business days* <input checked="" type="checkbox"/> 5 business days* <input type="checkbox"/> Full TCLP (100) <input type="checkbox"/> Weekend* <small>* not available for all tests</small> <small>Schedule rush organics, multi-metals & weekend tests in advance.</small>	<small>All samples on form should be of SAME matrix type. Use additional forms as needed.</small> <input type="checkbox"/> Air <input type="checkbox"/> Solid <input type="checkbox"/> Aqueous <input type="checkbox"/> Waste <input checked="" type="checkbox"/> Bulk <input type="checkbox"/> Wastewater <input type="checkbox"/> Hi-Vol Filter (PM10) <input type="checkbox"/> Water, Drinking <input type="checkbox"/> Hi-vol filter (1 Sr) <input type="checkbox"/> Compliance <input type="checkbox"/> Oil <input type="checkbox"/> Wipe <input type="checkbox"/> Paint <input type="checkbox"/> Wipe, Composite <input type="checkbox"/> Sludge <input type="checkbox"/> <input type="checkbox"/> Soil <input type="checkbox"/>	Asbestos Air / Fiber Counts <input type="checkbox"/> PCM (NIOSH 7400) <input type="checkbox"/> TEM (AHERA) <input type="checkbox"/> TEM (EPA Level II) <input type="checkbox"/> Miscellaneous Tests <input type="checkbox"/> Total Dust (NIOSH 6000) <input type="checkbox"/> Resp. Dust (NIOSH 6000) <input type="checkbox"/> Silica - FTIR (NIOSH 7602) <input type="checkbox"/> Silica - YPD (NIOSH 7500) <input type="checkbox"/> Mold Direct Exam	Asbestos Bulk / Asb ID <input type="checkbox"/> PLM (EPA 600/R-95/116) <input type="checkbox"/> PLM (EPA Point Count) <input type="checkbox"/> PLM (Qualitative only) <input type="checkbox"/> NVEL AP 100-1/1/6 <input type="checkbox"/> CAELAP (EPA Interim) <input type="checkbox"/> TEM (Organic)	Metals-Total Conc. <input checked="" type="checkbox"/> Lead <input type="checkbox"/> RCRA Metals <input type="checkbox"/> <input type="checkbox"/> Metals-Extract <input type="checkbox"/> TCLP/Lead <input type="checkbox"/> TCLP/RCRA Metals <input type="checkbox"/> TCLP / Full (w/ organics) Others <input type="checkbox"/>

Sample #	Date		Sample Identification <small>(Remove SSN, Ring, Material Type)</small>	Wiped Area (ft ²)	pH / Temp*	Time ²		Flow Rate ³		Total ⁴ Air
	Sampled	Released				Start	Stop	Start	Stop	
1	8-25-14		Living room, cream paint.							
2	8-25-14		Living Rm Green Window Paint							
3	8-25-14		Living Rm Grey paint Fireplace							
4	8-25-14		Living Rm Paint wall TAN							
5	8-25-14		Hall ceiling Paint - cream							
6	8-25-14		Hall Paint TAN							
7	8-25-14		Hall Paint cream							
8	8-25-14		Kitchen ceiling TAN paint							
9	8-25-14		Kitchen white & blue paint							
10	8-25-14		Kitchen ceramic tile Wall - TAN							
11	8-25-14		Kitchen ceramic tile Counter top - cream							
12	8-25-14		Front door Red Paint							

¹Type: A=area B=blank P=personal E=excursion ²Beginning/End of Sample Period ³Pump Calibration in Liters/Minute ⁴Volume in Liters [time in min * flow in L/min]

Sampled by NAME <u>Laurie Warren</u> SIGNATURE <u>L Warren</u> DATE/TIME <u>8-25-14</u>	Relinquished to lab by NAME <u>L Warren</u> SIGNATURE _____ DATE/TIME _____	Sample Disposal <small>If samples over red, weight (Refer to Fee Schedule)</small> <input type="checkbox"/> Return to Sender (Shipping fees) <input type="checkbox"/> Disposal by lab (\$50 fee) Shipping Methods <input type="checkbox"/> FX <input type="checkbox"/> UPS <input type="checkbox"/> USM <input type="checkbox"/> HD <input type="checkbox"/> DB WB: <u>8295</u>
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SCHNEIDER LABORATORIES GLOBAL, INC.

2512 West Cary Street, Richmond, Virginia 23220-5117
804-353-6776 • 800-785-LABG (5227) • Fax 804-359-1475
www.slabin.com e-mail: info@slabin.com

WO Label

Submitting Co. Asbestos Science Tech, INC.
P.O. Box 505
Bangor, CA 95914
Project Name: 800 Kimball
Project Location: Yuba City, CALIF.
Acct #: 4038
Phone: 530-518-0934
Fax/Email: astinc17@yahoo.com

Turn Around Time: 5 business days
Matrix / Sample Type: Bulk
Tests / Analytes: Asbestos Air / Fiber Counts, Lead, RCRA Metals, etc.
FOR ASBESTOS AIR: TYPE OF RESPIRATOR USED:

Table with columns: Sample #, Date Sampled, Time Sampled, Sample Identification, Wiped Area, pH / Temp, Time, Flow Rate, Total Air. Rows 13-17: Blue Bathroom tile, Pink Bathroom tile, Ext. White Paint, Ext grey Paint, Ext light TAN Paint.

Signature section with fields for Sampled by (L. Warren), Requested by (L. Warren), Date/Time (8-25-14), and Shipping Methods (EX, HD, UPS, DB, USM).

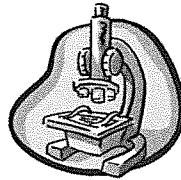
Asbestos Science Technologies, Inc.

P.O. Box 505

Bangor, Ca. 95914

530-518-0934

email - astinc17@yahoo.com



Site Inspected: 800 Kimball - Yuba City, Ca.

Date Of Inspection: August 24, 2014

An inspection was conducted at the above addresses on August 24, 2014 by Laurie Warren Certified Site Surveillance Technician - OSHA # 12-4934. The inspection of the home was conducted in accordance with EPA standards. All suspect material was touched and sampled to determine possible asbestos content as well as possible friability. All homogeneous areas of potential friable and potential non-friable ACM were identified. This inspection was conducted in accordance with EPA, CAL/OSHA and local regulatory guidelines.

Sample results have been previously sent. Samples were sent to Schneider laboratories in Richmond, Virginia for analysis.

There were no positive samples for asbestos.

Asbestos content shall be determined using the method specified in Appendix A, Subpart F, 40 CFR Part 763, Section 1, Polarized Light Microscopy. If the asbestos content is less than 10%, but at least trace amount detected, verification shall be made using the point counting method specified in Appendix A, Subpart F, 40 CFR Part 763, Section 1,7,2,4 Polarized Light Microscopy, Quantification of Asbestos Content.

Floyd Warren

This report was prepared by Floyd E. Warren C.A.C. # 09-4590

SCHNEIDER LABORATORIES GLOBAL

INCORPORATED

2512 W. Cary Street • Richmond, Virginia • 23220-5117
804-353-6778 • 800-785-LABS (5227) • (FAX) 804-359-1475

Over 25 Years of Excellence in Service and Technology

LABORATORY ANALYSIS REPORT

Asbestos Identification by EPA Method¹ 600/R-93/116; EPA 600/M4-82-020

ACCOUNT #: 4038-14-850
CUSTOMER: Asbestos Science Technologies, Inc
ADDRESS: 191 Hazel Street
Gridley, CA 95948

DATE COLLECTED: 8/25/2014
DATE RECEIVED: 8/26/2014
DATE ANALYZED: 8/27/2014
DATE REPORTED: 8/27/2014

PROJECT NAME: 800 Kimball

JOB LOCATION: Yuba City

PROJECT NO.:

PO NO.:

SampleType: Bulk

Customer Sample No.	SLI Sample/ Layer ID	Sample Identification/ Layer Name	PLM Analysis Results	
			Asbestos Fibers	Other Materials
1	32291838	Living Room Ceiling Sheetrock		
Layer 1:	Sheetrock Off White, Granular		None Detected	98% NON FIBROUS MATERIAL 2% SYNTHETIC FIBER
2	32291839	Living Room Sheetrock&Jt Comp		
Layer 1:	Sheetrock Off White, Granular		None Detected	98% NON FIBROUS MATERIAL 2% SYNTHETIC FIBER
Layer 2:	Joint Compound White, Granular		None Detected	100% NON FIBROUS MATERIAL
3	32291840	Living Room Sheetrock&Jt Comp		
Layer 1:	Sheetrock Off White, Granular		None Detected	98% NON FIBROUS MATERIAL 2% SYNTHETIC FIBER
Layer 2:	Joint Compound White, Granular		None Detected	100% NON FIBROUS MATERIAL
4	32291841	Living Room Sheetrock&Jt Comp		
Layer 1:	Sheetrock Off White, Granular		None Detected	98% NON FIBROUS MATERIAL 2% SYNTHETIC FIBER

Total Number of Pages in Report: 4

Results relate only to samples as received by the laboratory.

Visit www.slabinc.com for current certifications.

Accrediting bodies: AIHA-LAP, LLC 100527, NVLAP 101150-0, VELAP/NELAC 460135 - Call laboratory for current national and state certifications.

Method reporting limit is 1%. PLM analysis is based on Visual Estimation and NESHAP recommends that any asbestos content less than 10 percent be verified by PLM Point Count or TEM Analysis. This report must not be reproduced except in full with the approval of the laboratory.

Customer Sample No.	SLI Sample/ Layer ID	Sample Identification/ Layer Name	PLM Analysis Results	
			Asbestos Fibers	Other Materials
Layer 2:	Joint Compound White, Granular		None Detected	100% NON FIBROUS MATERIAL
5	32291842	Kitchen Ceiling Sheetrock		
Layer 1:	Sheetrock White, Granular		None Detected	98% NON FIBROUS MATERIAL 2% SYNTHETIC FIBER
6	32291843	Kitchen Sheetrock & Jt Comp		
Layer 1:	Sheetrock Light Gray, Granular		None Detected	100% NON FIBROUS MATERIAL
Layer 2:	Joint Compound White, Granular		None Detected	100% NON FIBROUS MATERIAL
7	32291844	Kitchen Lino		
Layer 1:	Linoleum Cream, Org.Bound/Fibrous Sample was inhomogenous, subsamples of each component were analyzed separately.		None Detected	45% CELLULOSE FIBER 55% NON FIBROUS MATERIAL
Layer 2:	Mastic Yellow, Soft		None Detected	3% CELLULOSE FIBER 97% NON FIBROUS MATERIAL
8	32291845	Bathroom Floor Lino		
Layer 1:	Linoleum Light Gray, Organically Bound		None Detected	100% NON FIBROUS MATERIAL
Layer 2:	Mastic Clear, Soft		None Detected	100% NON FIBROUS MATERIAL
9	32291846	Hall Sheetrock & Jt Comp		
Layer 1:	Sheetrock White, Granular		None Detected	100% NON FIBROUS MATERIAL
Layer 2:	Joint Compound Beige, Granular		None Detected	100% NON FIBROUS MATERIAL

Total Number of Pages in Report: 4

Results relate only to samples as received by the laboratory.

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Accrediting bodies: AIHA-LAP, LLC 100527, NVLAP 101150-0, VELAP/NELAC 460135 - Call laboratory for current national and state certifications.

Method reporting limit is 1%. PLM analysis is based on Visual Estimation and NESHAP recommends that any asbestos content less than 10 percent be verified by PLM Point Count or TEM Analysis. This report must not be reproduced except in full with the approval of the laboratory.

Customer Sample No.	SLI Sample/ Layer ID	Sample Identification/ Layer Name	PLM Analysis Results	
			Asbestos Fibers	Other Materials
10	32291847	Front Bedroom Sheetrock		
Layer 1:	Sheetrock White, Granular		None Detected	2% CELLULOSE FIBER 98% NON FIBROUS MATERIAL
Layer 2:	Joint Compound White, Granular		None Detected	100% NON FIBROUS MATERIAL
11	32291848	Back Bedroom Sheetrock		
Layer 1:	Sheetrock White, Granular		None Detected	2% CELLULOSE FIBER 98% NON FIBROUS MATERIAL
Layer 2:	Joint Compound White, Granular		None Detected	100% NON FIBROUS MATERIAL
12	32291849	Ext Window Putty		
Layer 1:	Putty Gray, Brittle		None Detected	3% CELLULOSE FIBER 97% NON FIBROUS MATERIAL
13	32291850	Ext Window Putty		
Layer 1:	Putty Gray, Brittle		None Detected	2% CELLULOSE FIBER 98% NON FIBROUS MATERIAL
14	32291851	Ext Succo		
Layer 1:	Stucco Dark Gray, Granular		None Detected	100% NON FIBROUS MATERIAL
Layer 2:	Stucco White, Granular		None Detected	10% NON FIBROUS MATERIAL
15	32291852	Ext Succo		
Layer 1:	Stucco Dark Gray, Granular		None Detected	100% NON FIBROUS MATERIAL
Layer 2:	Stucco White, Granular		None Detected	100% NON FIBROUS MATERIAL

Total Number of Pages in Report: 4

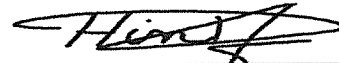
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Customer Sample No.	SLI Sample/ Layer ID	Sample Identification/ Layer Name	PLM Analysis Results	
			Asbestos Fibers	Other Materials
16	32291853	Ext Succo		
Layer 1:	Stucco Dark Gray, Granular		None Detected	100% NON FIBROUS MATERIAL
17	32291854	Garage Roofing		
Layer 1:	Roofing Black, Bituminous		None Detected	5% CELLULOSE FIBER 95% NON FIBROUS MATERIAL
18	32291855	House Roofing		
Layer 1:	Roofing Black/Green/White, Bituminous/Granular Sample was inhomogenous, subsamples of each component were analyzed separately.		None Detected	15% MINERAL/GLASS WOOL 85% NON FIBROUS MATERIAL
19	32291856	House Roofing Felt		
Layer 1:	Felt Black, Bituminous/Fibrous		None Detected	55% CELLULOSE FIBER 45% NON FIBROUS MATERIAL


Analyst: **Riham Hashim**

Reviewed By: **Hind Eldanaf, Microscopy Supervisor****Total Number of Pages in Report: 4**

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WorkOrderKey



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Submitting Co. <u>Asbestos Science Tech. inc.</u>	Lab W/O# <u>4038-A-850</u>	Phone <u>530 518 0934</u>
<u>P.O. Box 505 Bangor, CA 95914</u>	Acct #	Fax / Email <u>astinc17@yahoo.com</u>
	**State of Collection <u>CA</u>	**Cert. Required <input type="checkbox"/> Yes <input type="checkbox"/> No
Project Name: <u>800 Kimball</u>	Special Instructions [include requests for special reporting or data packages]	
Project Location: <u>Yuba City</u>		
Project Number:		
PO Number:		

Turn Around Time	Matrix / Sample Type (Select ONE)	Tests / Analytes (Select ALL that Apply)		
<input type="checkbox"/> 2 hours* <input type="checkbox"/> Same day* <input type="checkbox"/> 1 business day* <input type="checkbox"/> 2 business day* <input type="checkbox"/> 3 business days* <input checked="" type="checkbox"/> 5 business days* <input type="checkbox"/> Full TCLP (T0d) <input type="checkbox"/> Weekend* <small>* not available for all tests</small> <small>Schedule rush organics, multi-metals & weekend tests in advance.</small>	<small>All samples on form should be of SAME matrix type. Use additional forms as needed.</small> <input type="checkbox"/> Air <input type="checkbox"/> Solid <input type="checkbox"/> Aqueous <input type="checkbox"/> Waste <input checked="" type="checkbox"/> Bulk <input type="checkbox"/> Wastewater <input type="checkbox"/> Hi-Vol Filter (PM10) <input type="checkbox"/> Water, Drinking <input type="checkbox"/> Hi-Vol Filter (TSP) <input type="checkbox"/> Compliance <input type="checkbox"/> Oil <input type="checkbox"/> Wipe <input type="checkbox"/> Paint <input type="checkbox"/> Wipe, Composite <input type="checkbox"/> Sludge <input type="checkbox"/> <input type="checkbox"/> Soil <input type="checkbox"/>	Asbestos Air / Fiber Counts <input type="checkbox"/> PCM (NIOSH 7400) <input type="checkbox"/> TEM (AHERA) <input type="checkbox"/> TEM (EPA Level II) <input type="checkbox"/> Miscellaneous Tests <input type="checkbox"/> Total Dust (NIOSH 5500) <input type="checkbox"/> Resp. Dust (NIOSH 0600) <input type="checkbox"/> Silica - FTIR (NIOSH 7602) <input type="checkbox"/> Silica - XRD (NIOSH 7500) <input type="checkbox"/> Mold Direct Exam	Asbestos Bulk / Asb ID <input checked="" type="checkbox"/> PLM (EPA 600/R-93/116) <input type="checkbox"/> PLM (EPA Point Count) <input type="checkbox"/> PLM (Qualitative only) <input type="checkbox"/> NYELAP 198.1/4.6 <input type="checkbox"/> CAELAP (EPA Interim) <input type="checkbox"/> TEM (Chainfield) FOR ASBESTOS AIR: TYPE OF RESPIRATOR USED:	Metals-Total Conc. <input type="checkbox"/> Lead <input type="checkbox"/> RCRA Metals <input type="checkbox"/> <input type="checkbox"/> Metals-Extract <input type="checkbox"/> TCLP / Lead <input type="checkbox"/> TCLP / RCRA Metals <input type="checkbox"/> TCLP / Full (w/ organics) Others <input type="checkbox"/>

Sample #	Date Sampled	Time Sampled	Sample Identification (Employee, SSN, Bldg, Material, Type*)	Wiped Area (ft ²)	pH / Temp	Time ²		Flow Rate ³		Total ⁴ Air
						Start	Stop	Start	Stop	
1	8/25/14		living room - ceiling sheetrock							
2			living rm. Sheetrock & jt comp							
3			living rm. sheetrock & jt. comp.							
4			living rm. sheetrock & jt. comp.							
5			Kitchen - ceiling sheetrock							
6			Kitchen - sheetrock & jt comp.							
7			kitchen - lino							
8			bathroom - floor lino							
9			wall - sheetrock & jt. comp.							
10			Front bedroom - Sheetrock & joint compound							
11			Back bedroom - Sheetrock & joint compound							
12			ext. window putty							

*Type: A=area B=blank P=personal E=excursion ²Beginning/End of Sample Period ³Pump Calibration in Liters/Minute ⁴Volume in Liters [time in min. * flow in L/min]

Sampled by NAME <u>L. Warren</u> SIGNATURE <u>L Warren</u> DATE/TIME <u>8/25/14</u>	Relinquished to lab by NAME <u>L. Warren</u> SIGNATURE <u>L Warren</u> DATE/TIME <u>8/25/14</u>	Sample Disposal <small>If samples over test, weight. (Refer to Fee Schedule)</small> <input type="checkbox"/> Return to Sender (Shipping fees) <input type="checkbox"/> Disposal by lab (\$50 fee) Shipping Methods: <input type="checkbox"/> FX <input type="checkbox"/> UPS <input type="checkbox"/> USM <input type="checkbox"/> HD <input type="checkbox"/> DB Wt. <u>8575</u>
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WO Label

Submitting Co. <u>Asbestos Science Tech, inc.</u>	Lab WO# <u>4038</u>	Phone <u>530-518-0934</u>
<u>P.O. Box 505 Bangor, CA</u>	Acct#	Fax / Email <u>astinc17@yahoo.com</u>
<u>95914</u>	*State of Collection <u>CA</u>	**CCL Required <input type="checkbox"/> Yes <input type="checkbox"/> No
Project Name: <u>800 Kimball</u>	Special Instructions [include requests for special reporting or data packages]	
Project Location: <u>Yuba City</u>		
Project Number:		
PO Number:		

Turn Around Time	Matrix / Sample Type / Select ONE	Tests / Analytes / Select ALL that Apply
<input type="checkbox"/> 2 hours* <input type="checkbox"/> Same day* <input type="checkbox"/> 1 business day* <input type="checkbox"/> 2 business days* <input type="checkbox"/> 3 business days* <input checked="" type="checkbox"/> 5 business days* <input type="checkbox"/> Full (CLP / 100) <input type="checkbox"/> Weekend* <small>* not available for all tests</small> <small>Schedule such organics, multi-metals & weekend tests in advance.</small>	<small>All samples on form should be of same matrix type. Use additional forms as needed.</small> <input type="checkbox"/> Air <input type="checkbox"/> Solid <input type="checkbox"/> Aqueous <input type="checkbox"/> Waste <input checked="" type="checkbox"/> Bulk <input type="checkbox"/> Wastewater <input type="checkbox"/> HI-Vol Filter (PM10) <input type="checkbox"/> Water, Drinking <input type="checkbox"/> HPVA filter (TSP) <input type="checkbox"/> Composites <input type="checkbox"/> Oil <input type="checkbox"/> Wipe <input type="checkbox"/> Paint <input type="checkbox"/> Wipe, Composite <input type="checkbox"/> Sludge <input type="checkbox"/> <input type="checkbox"/> Soil <input type="checkbox"/>	<input type="checkbox"/> PCM (NIOSH 7400) <input checked="" type="checkbox"/> PLM (EPA 800/R-93/116) <input type="checkbox"/> TEM (AHERA) <input type="checkbox"/> PLM (EPA Point Count) <input type="checkbox"/> TEM (EPA Level II) <input type="checkbox"/> PM (Qualitative only) <input type="checkbox"/> <input type="checkbox"/> NYE LAP 198.11.4/6 <input type="checkbox"/> <input type="checkbox"/> CAELAP (EPA Interim) <input type="checkbox"/> Total Dust (NIOSH 6000) <input type="checkbox"/> Resp. Dust (NIOSH 6000) <input type="checkbox"/> Silica - FTIR (NIOSH 7602) <input type="checkbox"/> Silica - XRD (NIOSH 7500) <input type="checkbox"/> Mold Direct Exam <input type="checkbox"/> Lead <input type="checkbox"/> RCRA Metals <input type="checkbox"/> <input type="checkbox"/> Metals-Extract <input type="checkbox"/> Lead / Lead <input type="checkbox"/> TCLP / RCRA Metals <input type="checkbox"/> TCLP / Full (w/ organics) <input type="checkbox"/> Others <input type="checkbox"/>

Sample #	Sampling	Sampled	Environment: S=sky B=blank M=material V=vent	Area (ft ²)	Temp	Start	Stop	Start	Stop	Total
13	9/25/14		ext. window putty							
14			ext. Stucco							
15			ext. Stucco							
16			ext. Stucco							
17			garage roofing							
18			house roofing							
19			house roofing felt							

¹Type: A=area B=blank P=personal E=excursion ²Beginning/End of Sample Period ³Pump Calibration in Liters/Minute ⁴Volume in Liters (time in min * flow in L/min)

Sampled by NAME <u>L. Warren</u> SIGNATURE <u>[Signature]</u> DATE/TIME <u>8/25/14</u>	Requisitioned to lab by NAME <u>L. Warren</u> SIGNATURE <u>[Signature]</u> DATE/TIME <u>8/25/14</u>	<u>8-26-14</u> <u>[Signature]</u>	Sample Disposal <small>If samples over req. weight. (Refer to Fee Schedule)</small> <input type="checkbox"/> Return to Sender (Shipping fees) <input type="checkbox"/> Disposal by lab (350 fee)
<input type="checkbox"/> Sample return requested <input type="checkbox"/> Ambient temp <input type="checkbox"/> Ice <input type="checkbox"/> CI <input type="checkbox"/> R <input type="checkbox"/> S <input checked="" type="checkbox"/> X <input type="checkbox"/> Receive a physical copy of report.			Shipping Methods <input type="checkbox"/> FX <input type="checkbox"/> HD <input checked="" type="checkbox"/> UPS <input type="checkbox"/> DB <input type="checkbox"/> USM

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